

The second midterm covers in-class material days 14-24, labs 6-8, reading weeks 6-12, and material that carries over from the first midterm (see sections 1 and 2 from study guide 1 + **implementation**). It is in class and closed notes/books/internet/other, but you may use a 1 page (front and back), hand-written “resource sheet” (created by *you*). You will not need a calculator. I have put vocab in **blue**.

1. From before: **softmax**, **cross-entropy** and other **loss functions**, **gradient descent**, **confusion matrices**
2. Sources of Error in and ML pipeline
 - Traditional measures of error focus on prediction vs. label
 - What other sources of error are there throughout the pipeline?
 - AI Bill of Rights (see materials from Day 14)
3. Perceptron and Support Vector Machines
 - Idea and equation of a **separating hyperplane** (weight vector points toward the + side)
 - **Perceptron** algorithm and derivation of the weight updates; perceptron cost function
 - Perceptron weight updates: geometric interpretation and gradient descent interpretation
 - Guarantees and limitations of the perceptron algorithm
 - **Support Vector Machines** (SVMs) can find the **maximum margin** hyperplane
 - What are **support vectors**? What is the **geometric** (γ) vs. the **functional** ($\hat{\gamma}$) **margin**?
 - How we used the functional and geometric margins to cast SVMs as an optimization problem
 - Motivation and method of **Lagrange multipliers**, application to SVMs
 - High-level steps of transforming the SVM **Lagrangian** into a problem involving only α values
 - What do these α values represent and how can we use them to find \vec{w}^* ?
 - Reformulation of SVMs as maximizing $W(\vec{\alpha})$ uses only inner products between examples
 - Idea of a **kernel function** and how it can replace the dot product (not Gaussian kernel details)
4. Neural Networks: fully-connected and CNN
 - What is a **Neural Network** (NN)? Motivation and goals when using them
 - High level idea of training using gradient descent on the **loss function**
 - **Fully Connected** architectures, dimensionality analysis, parameters vs. hyperparameters
 - Choice of **activation function**, pros and cons of **sigmoid**, **tanh**, and **ReLU**
 - **Softmax function** as the activation function for the last layer, **cross-entropy** loss after that
 - Training: how to initialize the weights/biases, what is the point of **mini-batches**?
 - Motivation behind **Convolutional Neural Networks** (CNNs); application to images
 - CNN architectures: idea of 3D volumes, typical steps **CONV**, **RELU**, **POOL**, **FC**
 - CONV layer details: filters computing **cross-correlations**, slide filter over width and height
 - Dimensionality analysis (shapes of filter weights/biases, shapes of input/output)
 - **Backpropagation**: both high-level purpose and mathematical details
 - Skip: dropout, regularization, any pooling besides 2×2 with stride 2

5. Neural Networks: RNNs, Transformers, and GANs

- Idea of [recurrent neural networks \(RNNs\)](#), purpose, input/output format, flexibility
- RNN variations: [LSTMs](#), [GRUs](#) (ability to remember relevant information)
- Details of how we used RNNs for text generation in Lab 8, including data preprocessing
- Risks of large language models ([LLMs](#))
- Text generation from vocab probabilities, idea of [temperature](#) to control randomness
- Math and intuition behind [attention](#) mechanisms
- Big-picture ideas of [transformers](#) and how they use attention mechanisms
- Transformers are [permutation-invariant](#) but we can add [positional encodings](#)
- [Generative Adversarial Networks \(GANs\)](#) as another way to generate synthetic data
- GANs are comprised of two networks: [generator](#) and [discriminator](#)
- GAN loss functions and relationship to binary cross-entropy loss; training difficulties
- For image creation, GAN generator is usually a CNN with [transposed convolutions](#)

6. Interpretability

- Global vs. local [interpretability](#)
- LIME interpretability method
- [Saliency maps](#)
- Model-of-the-model approaches

7. Unsupervised Learning

- Basics of [K-means](#) and [Gaussian mixture models \(GMMs\)](#)
- [Autoencoders](#) and [variational autoencoders \(VAEs\)](#)
- Connection between unsupervised learning and generative methods