

CS 106

INTRODUCTION TO

DATA STRUCTURES

SPRING 2020

PROF. SARA MATHIESON

HVERFORD COLLEGE

ADMIN

- **Lab 5** due **Sunday**
- **Lab tomorrow** same as last week (I will start at 9am)
 - Sign-in sheet + zoom to join the queue
- **Lab 6** posted **TODAY**
- Email me (and cc partner(s)) if you want to work together in **breakout rooms** (or prefer individual)

REVISED TA/OFFICE HOURS

Sunday 7-9pm (Juvia)

Monday 8-midnight (Steve)

Tuesday 11:30-12:30pm (Lizzie)

Tuesday 4:30-6pm (Sara)

Wednesday 8-midnight (Steve)

Thursday 11:30-12:30pm (Lizzie)

Thursday 9-11pm (Will)

~~**Friday 8-10pm (Gareth)**~~

Saturday 4-6pm (Will)

Saturday 8-10pm (Gareth)

} *Today/Tomorrow*

LAB 5 MULTIPLE FILES

Edit: please add poll_data if you can!

```
poll_data/dempres_20190103_1.csv poll_data/dempres_20190202_1.csv  
poll_data/dempres_20190302_1.csv
```

Edit: output from the above example

```
Tree:  
Pre:   Bernard Sanders:21.1 Joseph R. Biden Jr.:37.0 Beto O'Rourke:5.0 Joseph  
Kennedy III:9.0 Kamala D. Harris:9.0 Hillary Rodham Clinton:3.0 Cory A. Booker:5.9  
Michael Bloomberg:1.9 Sherrod Brown:0.9 Steve Bullock:0.0 Julián Castro:0.2 Pete  
Buttigieg:0.4 Kirsten E. Gillibrand:3.3 Andrew Cuomo:0.0 John K. Delaney:0.0 Eric  
Garcetti:0.0 Tulsi Gabbard:1.5 John Hickenlooper:1.0 Jay Robert Inslee:0.0 Eric H.  
Holder:0.0 John Kerry:1.0 Amy Klobuchar:0.9 Terry R. McAuliffe:0.0 Gavin Newsom:0.0  
Richard Neece Ojeda:1.0 Elizabeth Warren:5.2 Tom Steyer:1.0 Howard Schultz:0.0 Eric  
Swalwell:0.0  
In:    Joseph R. Biden Jr.:37.0 Michael Bloomberg:1.9 Cory A. Booker:5.9 Sherrod  
Brown:0.9 Steve Bullock:0.0 Pete Buttigieg:0.4 Julián Castro:0.2 Hillary Rodham  
Clinton:3.0 Andrew Cuomo:0.0 John K. Delaney:0.0 Tulsi Gabbard:1.5 Eric  
Garcetti:0.0 Kirsten E. Gillibrand:3.3 Kamala D. Harris:9.0 John Hickenlooper:1.0  
Eric H. Holder:0.0 Jay Robert Inslee:0.0 Joseph Kennedy III:9.0 John Kerry:1.0 Amy  
Klobuchar:0.9 Terry R. McAuliffe:0.0 Gavin Newsom:0.0 Beto O'Rourke:5.0 Richard  
Neece Ojeda:1.0 Bernard Sanders:21.1 Howard Schultz:0.0 Tom Steyer:1.0 Eric  
Swalwell:0.0 Elizabeth Warren:5.2  
Post:  Michael Bloomberg:1.9 Pete Buttigieg:0.4 Julián Castro:0.2 Steve  
Bullock:0.0 Sherrod Brown:0.9 Cory A. Booker:5.9 Tulsi Gabbard:1.5 Eric  
Garcetti:0.0 John K. Delaney:0.0 Andrew Cuomo:0.0 Kirsten E. Gillibrand:3.3 Hillary  
Rodham Clinton:3.0 Eric H. Holder:0.0 Jay Robert Inslee:0.0 John Hickenlooper:1.0  
Kamala D. Harris:9.0 Gavin Newsom:0.0 Terry R. McAuliffe:0.0 Amy Klobuchar:0.9 John  
Kerry:1.0 Joseph Kennedy III:9.0 Richard Neece Ojeda:1.0 Beto O'Rourke:5.0 Joseph  
R. Biden Jr.:37.0 Howard Schultz:0.0 Eric Swalwell:0.0 Tom Steyer:1.0 Elizabeth  
Warren:5.2 Bernard Sanders:21.1
```

LAB 5 NOTES

Try **NOT** to use helper methods in a “static” way (like below)

method `inOrder()`:

```
BinaryTree myTree = new LinkedBinaryTree(root)
inOrderHelper(myTree)
```

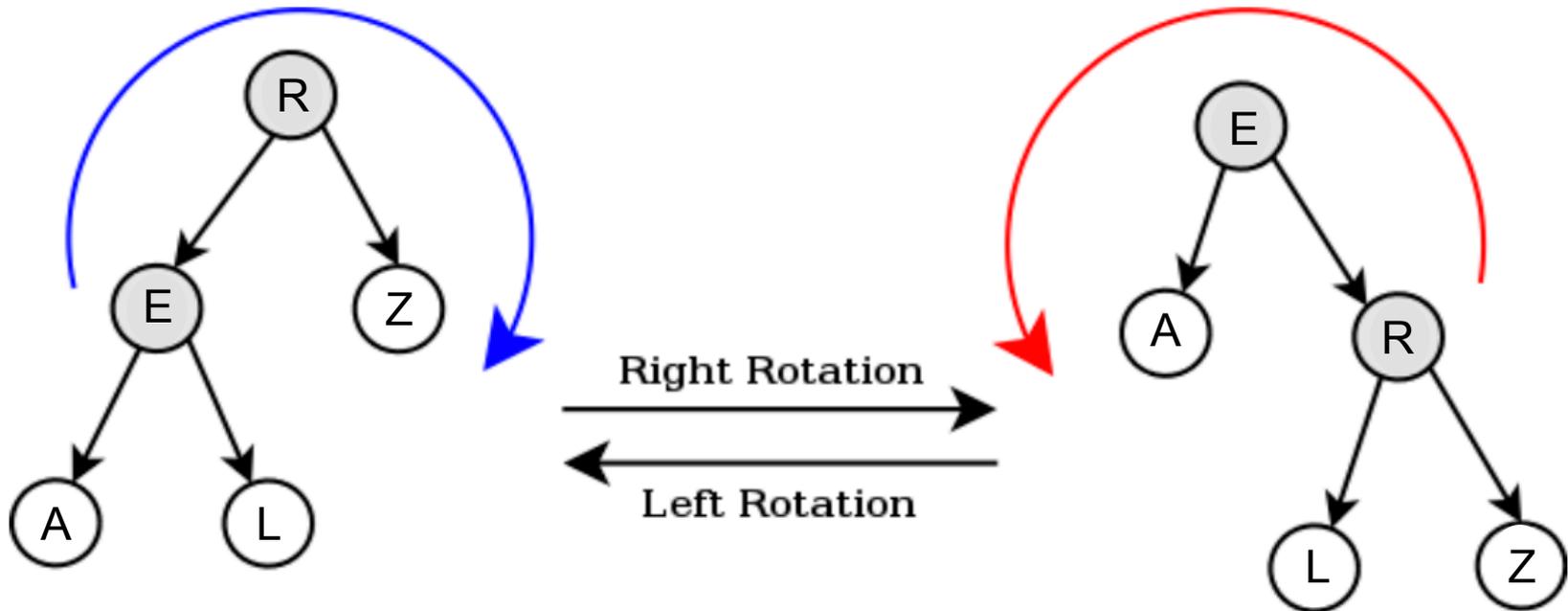
method `inOrderHelper(BinaryTree tree)`:

...

REBALANCING TREES

Many ways! Here is one (more info in link):

https://en.wikipedia.org/wiki/Tree_rotation



Note: this maintains **alphabetical order** so sorting is fast, but it does change some parent/child relationships.

Edit: this example is fixed now!

APR 2 OUTLINE

- **Recap priority queues and heaps**
- **Array-based implementation of a heap**
- **Heap sort**

APR 2 OUTLINE

- **Recap priority queues and heaps**
- Array-based implementation of a heap
- Heap sort

PRIORITY QUEUE

A queue that maintains the order of the elements according to some priority

- generally not FIFO
- some other order (although insertion time *could* be one criteria)

Removal order, not general order

- object with minkey/maxkey in front
- the rest **may or may not be sorted** (implementation dependent)

HEAP DATA STRUCTURE

Sorted list: $O(n)$ to insert (enqueue)

Unsorted list: $O(n)$ to remove (dequeue)

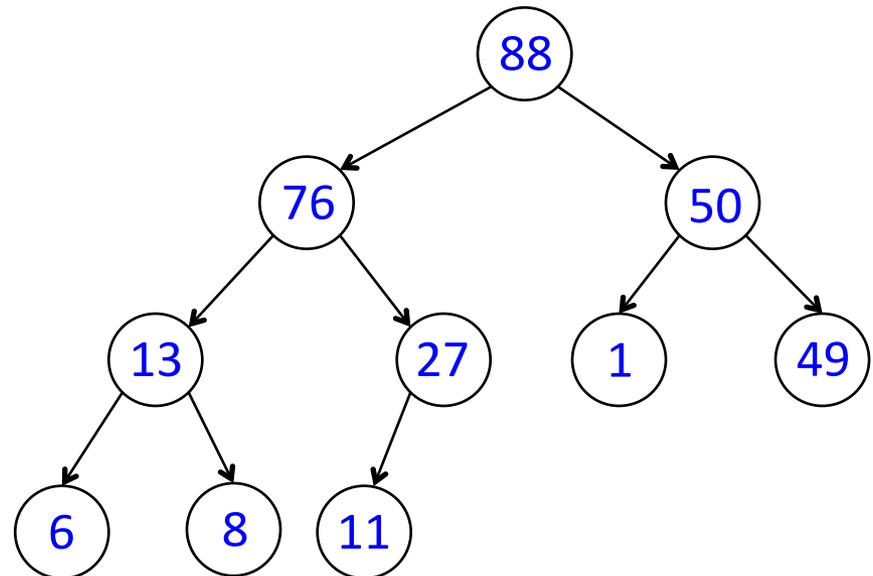
Need a semi-sorted data structure!

Heap: complete binary tree (every level filled except maybe the last, which is filled from the left)

Max heap: parent \geq both children

Min heap: parent \leq both children

Every subtree is also a heap



MAX HEAP: INSERT

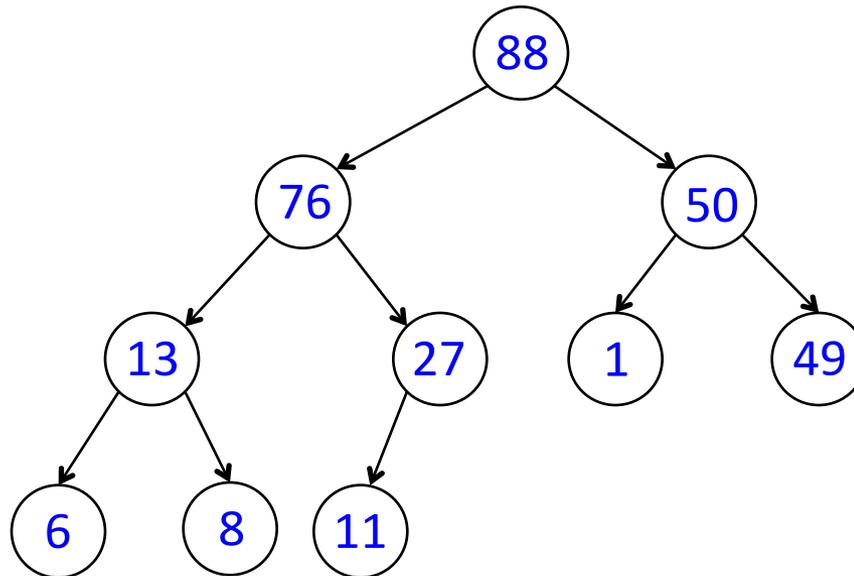
`insert(x)`:

place `x` in first open spot on lowest level (or make a new level)

“bubble up” `x` until heap condition satisfied, i.e.:

while `child > parent`:

swap parent and child (Lab 6: write a swap helper method)



Runtime?

MAX HEAP: INSERT

`insert(x)`:

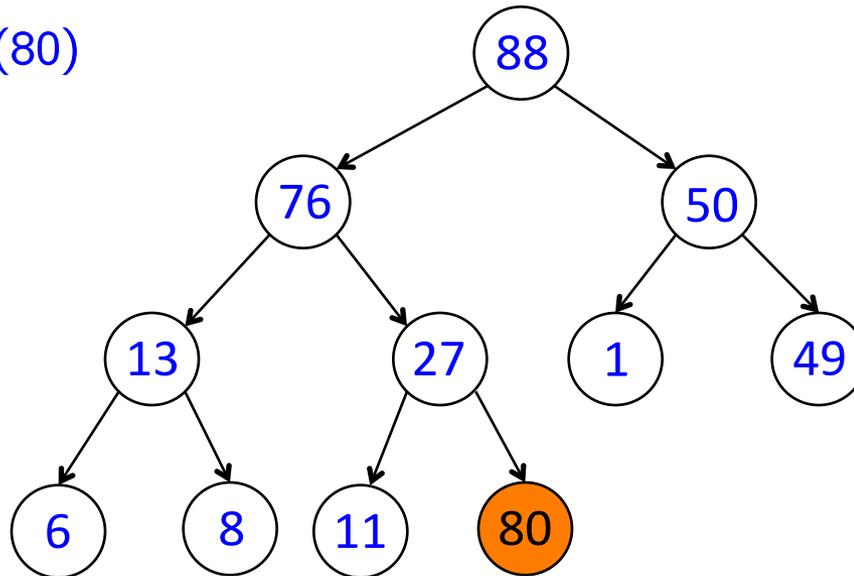
place `x` in first open spot on lowest level (or make a new level)

“bubble up” `x` until heap condition satisfied, i.e.:

while `child > parent`:

swap parent and child (Lab 6: write a swap helper method)

Example: `insert(80)`



Runtime?

MAX HEAP: INSERT

`insert(x)`:

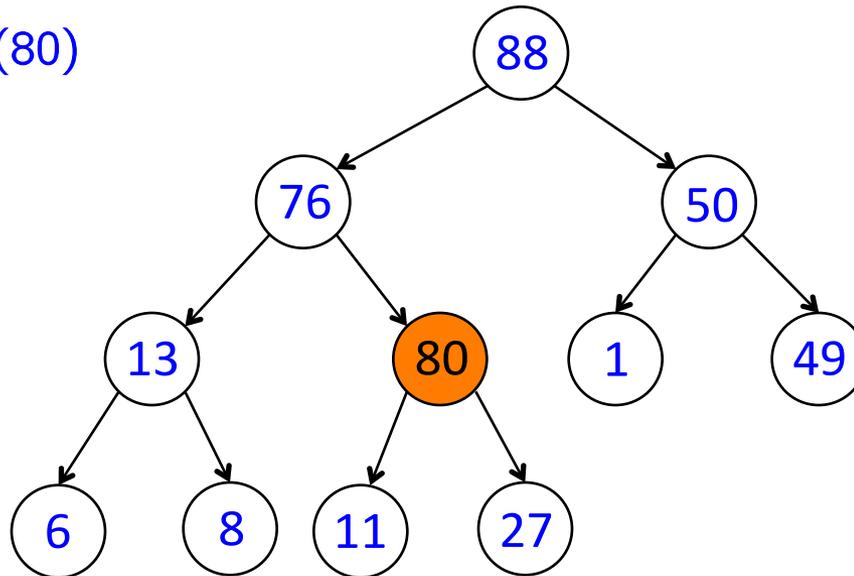
place `x` in first open spot on lowest level (or make a new level)

“bubble up” `x` until heap condition satisfied, i.e.:

while `child > parent`:

swap parent and child (Lab 6: write a swap helper method)

Example: `insert(80)`



Runtime?

MAX HEAP: INSERT

`insert(x)`:

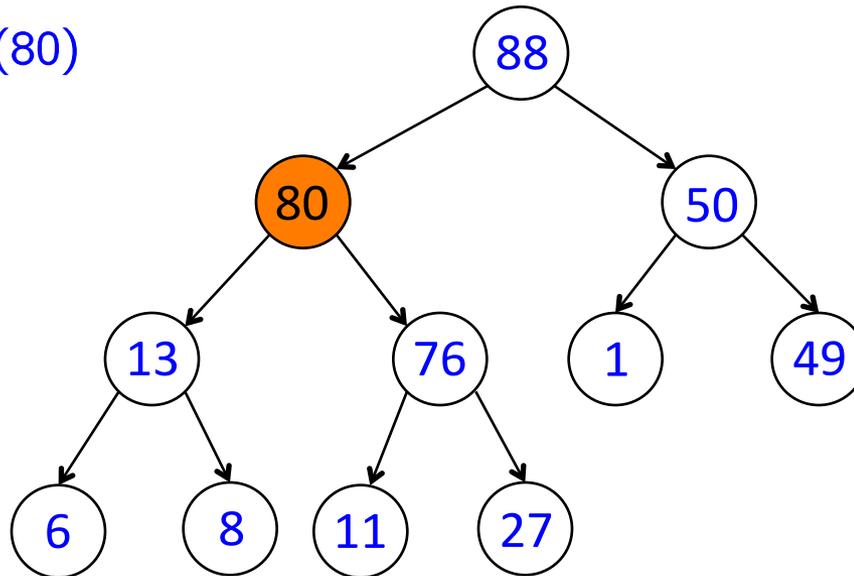
place `x` in first open spot on lowest level (or make a new level)

“bubble up” `x` until heap condition satisfied, i.e.:

while `child > parent`:

swap parent and child (Lab 6: write a swap helper method)

Example: `insert(80)`



Runtime?

MAX HEAP: INSERT

`insert(x)`:

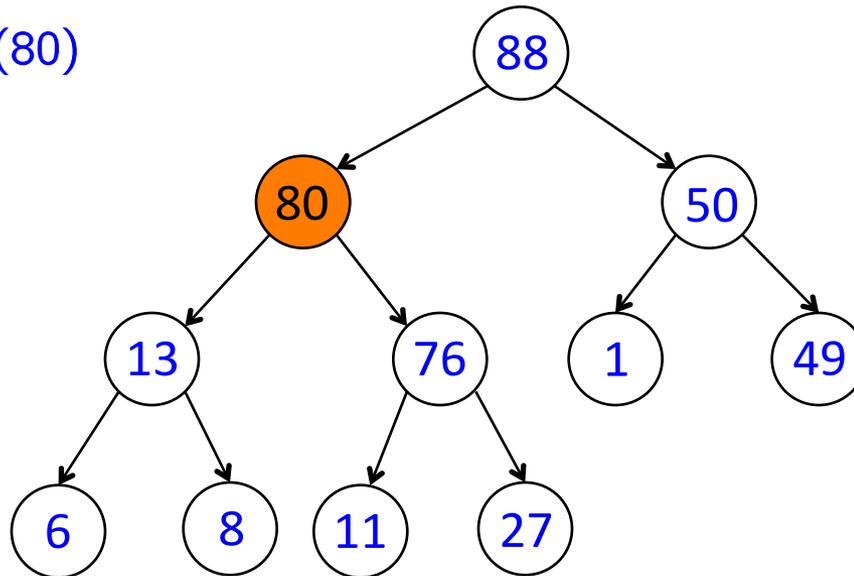
place `x` in first open spot on lowest level (or make a new level)

“bubble up” `x` until heap condition satisfied, i.e.:

while `child > parent`:

swap parent and child (Lab 6: write a swap helper method)

Example: `insert(80)`



Runtime: $O(\log(n))$!

MAX HEAP: REMOVE

`removeMax()`:

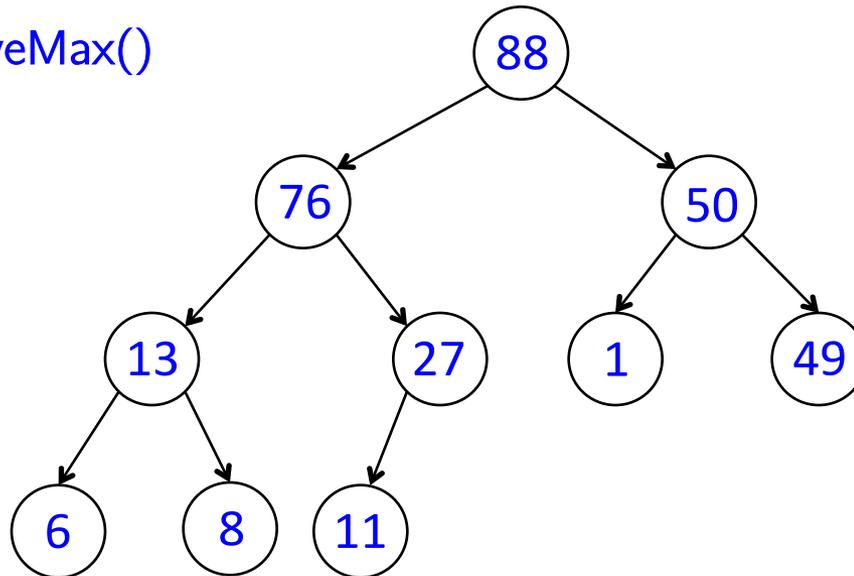
move last element to root

“bubble down” until heap condition satisfied, i.e.:

while parent < either child:

swap parent with largest child

Example: `removeMax()`



Runtime?

MAX HEAP: REMOVE

MAX HEAP: REMOVE

`removeMax()`:

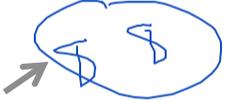
move last element to root

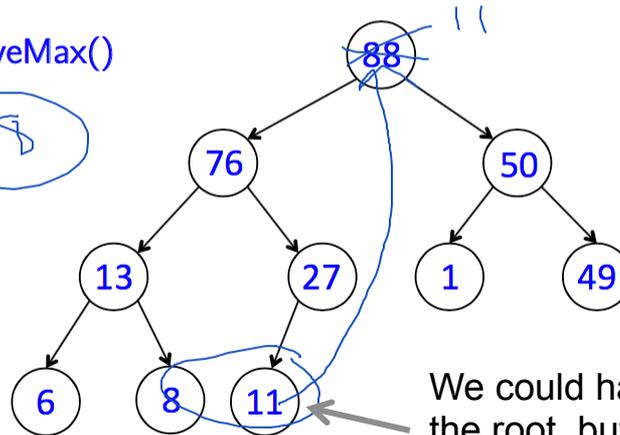
“bubble down” until heap condition satisfied, i.e.:

while parent $<$ either child:

swap parent with largest child

Example: `removeMax()`

Save  to return later



We could have moved any leaf to the root, but we remove the “last” one to keep the tree balanced

Runtime?

MAX HEAP: REMOVE

`removeMax()`:

move last element to root

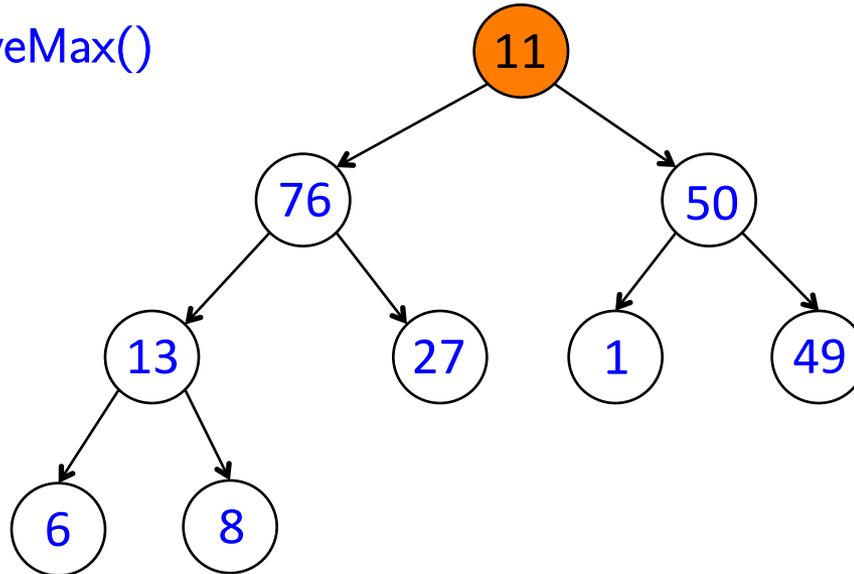
“bubble down” until heap condition satisfied, i.e.:

while parent < either child:

swap parent with largest child

Example: `removeMax()`

Return: 88



Runtime?

MAX HEAP: REMOVE

`removeMax()`:

move last element to root

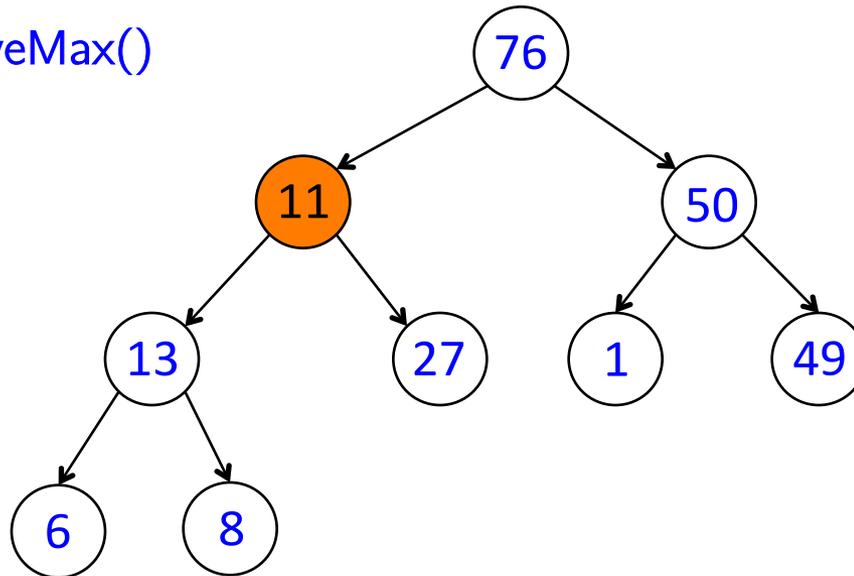
“bubble down” until heap condition satisfied, i.e.:

while parent < either child:

swap parent with largest child

Example: `removeMax()`

Return: 88



Runtime?

MAX HEAP: REMOVE

`removeMax()`:

move last element to root

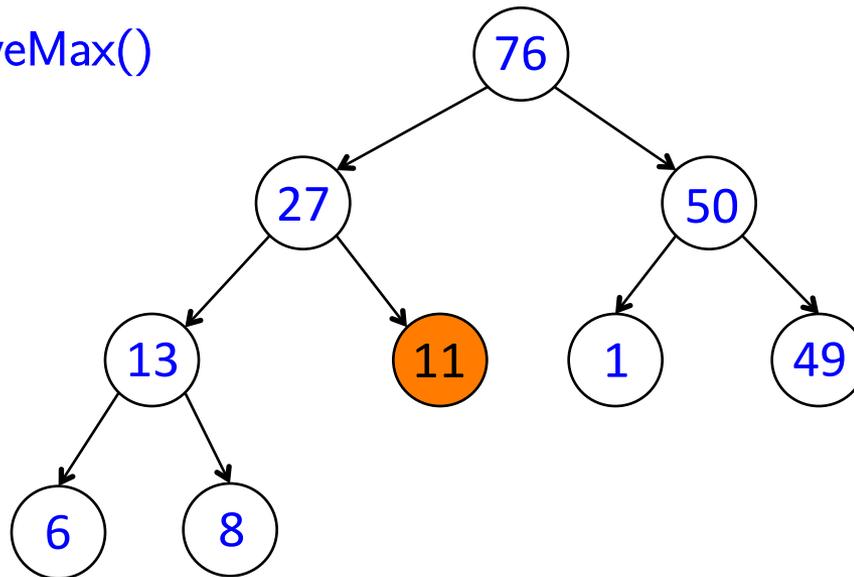
“bubble down” until heap condition satisfied, i.e.:

while parent < either child:

swap parent with largest child

Example: `removeMax()`

Return: 88



Runtime?

MAX HEAP: REMOVE

`removeMax()`:

move last element to root

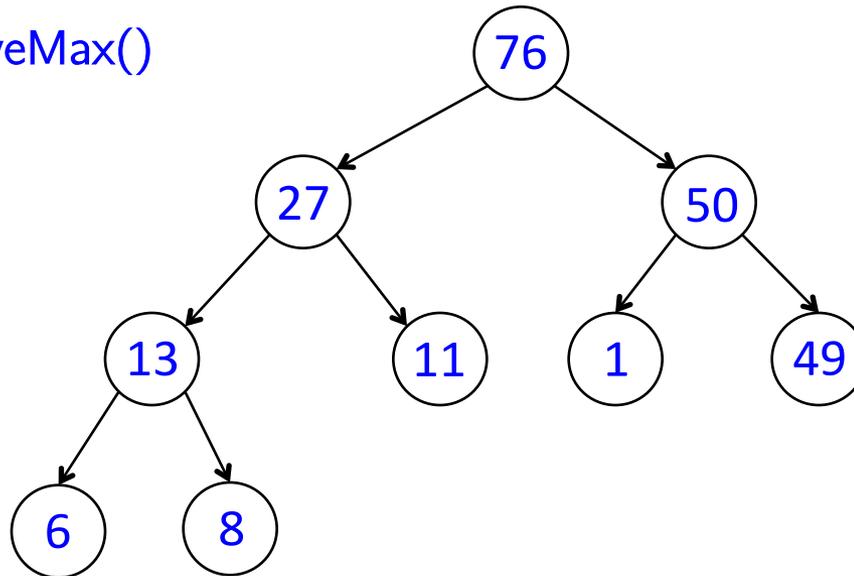
“bubble down” until heap condition satisfied, i.e.:

while parent < either child:

swap parent with largest child

Example: `removeMax()`

Return: 88



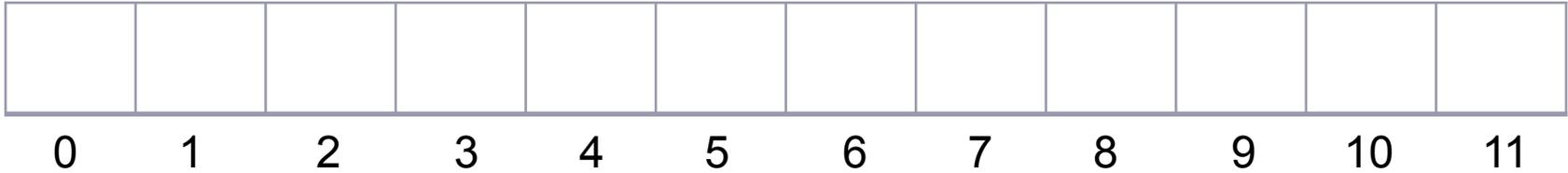
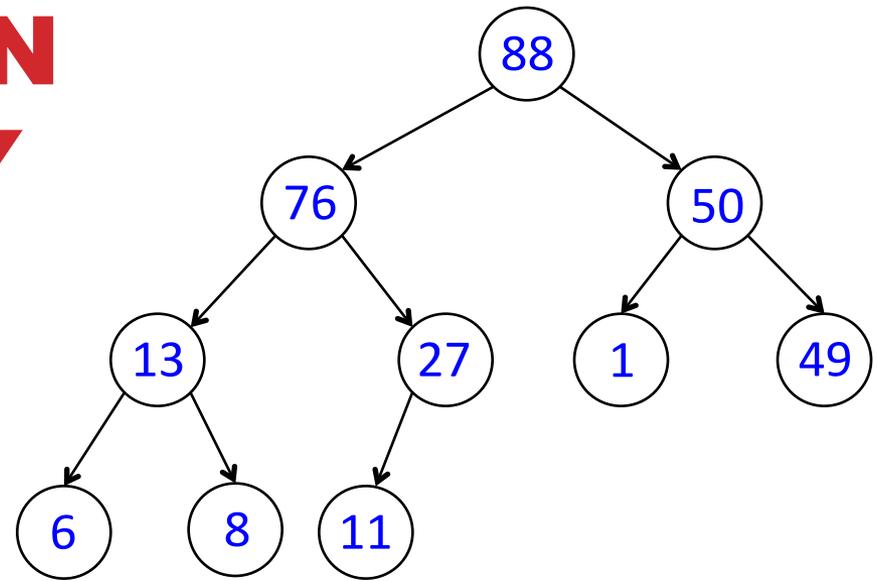
Runtime: $O(\log(n))$!

APR 2 OUTLINE

- Recap priority queues and heaps
- **Array-based implementation of a heap**
- Heap sort

IMPLEMENTATION USING AN ARRAY

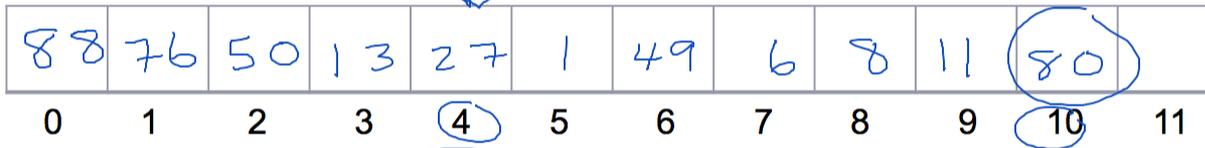
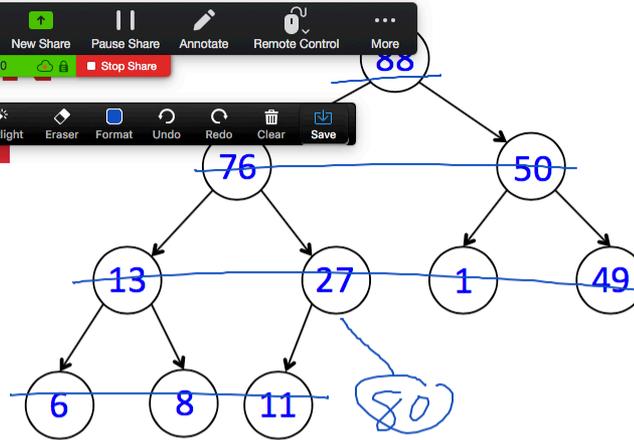
Order in array: breadth-first!



i	parent(i)	left(i)	right(i)
0			
1			
2			
3			
4			

IMPLEMENTATION USING AN ARRAY

Order in array: breadth-first!



row 0
row 1
row 2

i	parent(i)	left(i)	right(i)
→ 0	none	1	2
1	0	3	4
2	0	5	6
3	1	7	8
4	1	9	10

PARENT/CHILD RELATIONSHIPS (PAIR EXERCISE)

PARENT/CHILD RELATIONSHIPS (PAIR EXERCISE)

parent(i)

$$= \left\lfloor \frac{i-1}{2} \right\rfloor$$

$$\left\lfloor \frac{3-1}{2} \right\rfloor = 1$$

$$\left\lfloor \frac{4-1}{2} \right\rfloor = 1$$

left(i)

$$= 2i + 1$$

right(i)

$$= 2i + 2$$

$$i = 2^0 + 2^1 + 2^2 + \dots + 2^k + x$$

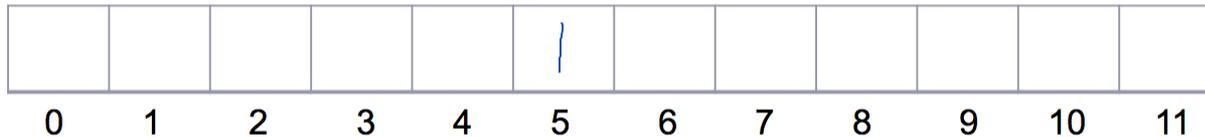
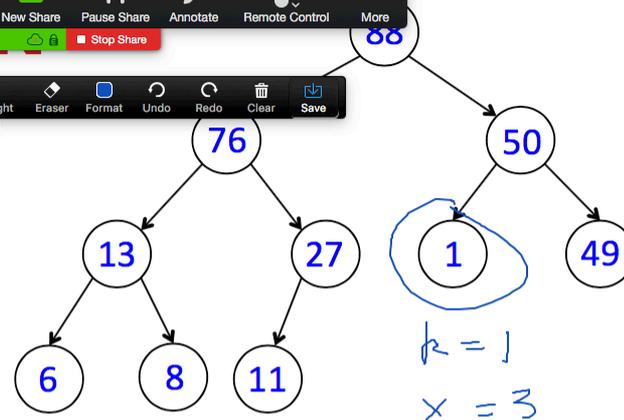
child(i) = $\dots + 2^{k+1} + 2x$

HINTS FOR PROVING FORMULAS

IMPLEMENTING USING AN ARRAY

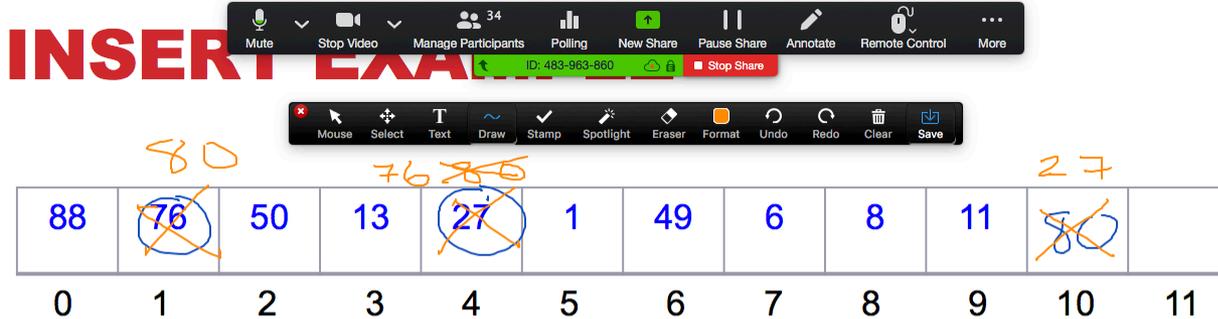
Order in array: breadth-first!

$$5 = 2^0 \times 2^1 + 3 - 1$$



i	parent(i)	left(i)	right(i)
0			
1			
2			
3			
4			

INSERT EXAMPLE



bubble up

$$\textcircled{1} \text{ parent}(10) = \left\lfloor \frac{10-1}{2} \right\rfloor = 4$$

$80 > 27$? ✓

swap(4, 10)

$$\textcircled{2} \text{ parent}(4) = \left\lfloor \frac{4-1}{2} \right\rfloor = 1$$

$80 > 76$? ✓

swap(1, 4)

$$\textcircled{3} \text{ parent}(1) = \left\lfloor \frac{1-1}{2} \right\rfloor = 0$$

$80 > 88$? no

REMOVE EXAMPLE

REMOVE EXAMPLE

Mute Stop Video Manage Participants Polling New Share Pause Share Annotate Remote Control More

ID: 483-963-860 Stop Share

Mouse Select Text Draw Stamp Spotlight Eraser Format Undo Redo Clear Save

76
11

88	76	50	13	27	1	49	6	8	11		
0	1	2	3	4	5	6	7	8	9	10	11

save 88 pos = 0

① $left(0) = 2 \cdot 0 + 1 = 1$
 $right(0) = 2 \cdot 0 + 2 = 2$

swap(0, 1)

11 > 76? no

11 > 50? no

76 > 50 ✓

② pos = 1

APR 2 OUTLINE

- Recap priority queues and heaps
- Array-based implementation of a heap
- **Heap sort**

IN-PLACE SORTING

In-place sorting algorithm: we do not create a new data structure, we instead sort the elements within their existing data structure

- **Cons:** destroys the original order, which may have been important
- **Pros:** very efficient in terms of space

IN-PLACE SORTING

In-place sorting algorithm: we do not create a new data structure, we instead sort the elements within their existing data structure

- **Cons:** destroys the original order, which may have been important
- **Pros:** very efficient in terms of space

Out-of-place sorting algorithm: returns a new data structure with the original data sorted

- **Cons:** space inefficient
- **Pros:** preserves original order

IN-PLACE SORTING

In-place sorting algorithm: we do not create a new data structure, we instead sort the elements within their existing data structure

- **Cons:** destroys the original order, which may have been important
- **Pros:** very efficient in terms of space

Out-of-place sorting algorithm: returns a new data structure with the original data sorted

- **Cons:** space inefficient
- **Pros:** preserves original order

Heap Sort can be implemented either way, but we will cover the in-place version now

HEAP SORT (IN PLACE WITH ARRAY)

Phase I: unsorted array \rightarrow heap

for $i = 0, 1, \dots, n-1$:

 bubble up element at index i until $\text{arr}[0\dots i]$ form a heap

HEAP SORT (IN PLACE WITH ARRAY)

Phase I: unsorted array \rightarrow heap

for $i = 0, 1, \dots, n-1$:

 bubble up element at index i until $\text{arr}[0\dots i]$ form a heap

Phase II: heap \rightarrow sorted array

for $i = n-1, n-2, \dots, 0$:

$\text{swap}(0, i)$ // 0 is the root index

 bubble down so $\text{arr}[0\dots i]$ are still a heap

HEAP SORT RUNTIME? PAIR EXERCISE

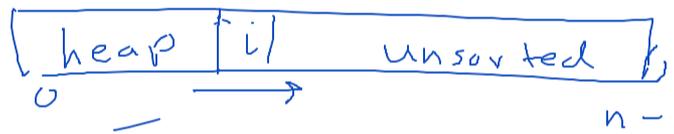
HEAP SORT (IN PLACE WITH ARRAY)

Phase I: unsorted array \rightarrow heap

for $i = 0, 1, \dots, n-1$: $O(\log n)$

bubble up element at index i until $\text{arr}[0\dots i]$ form a heap \star

$$O(n \log(n))$$

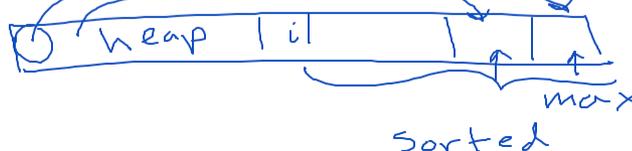


Phase II: heap \rightarrow sorted array

for $i = n-1, n-2, \dots, 0$: $O(\log n)$

$\text{swap}(0, i)$ // 0 is the root index

$\log n$ bubble down so $\text{arr}[0\dots i]$ are still a heap

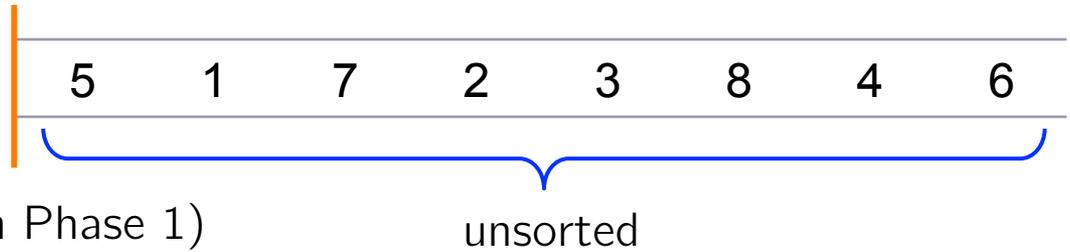


runtime?

low \rightarrow high

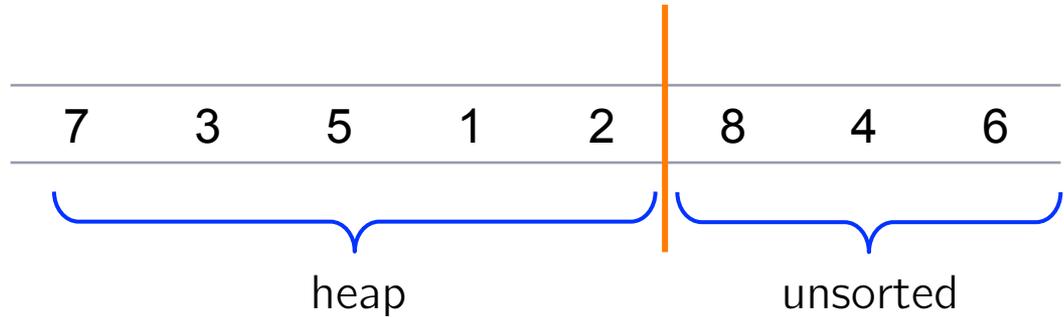
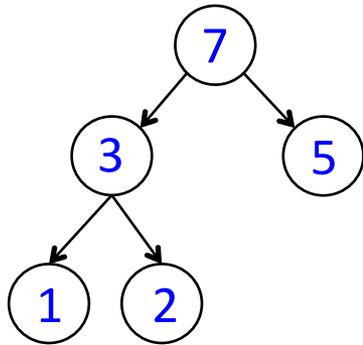
HEAP SORT EXAMPLE: PHASE I

Phase I: unsorted array \rightarrow heap

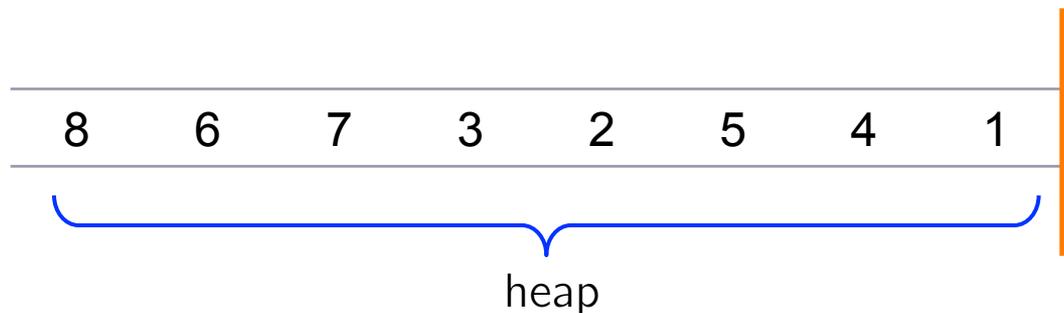
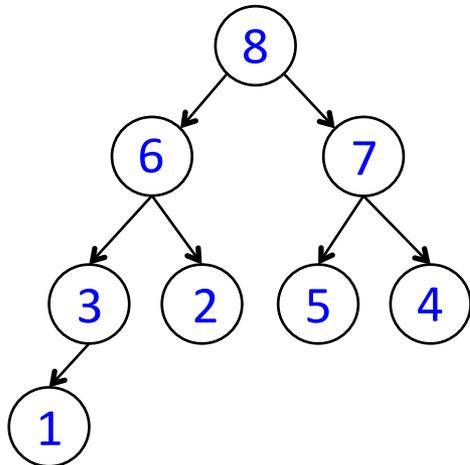


(Below are two different stages in Phase 1)

After processing $i=4$:



After processing $i=n-1$ (end of Phase I):



HEAP SORT EXAMPLE: PHASE II

Phase II: heap \rightarrow sorted array

5 1 7 2 3 8 4 6

Next time!