



CS 68: BIOINFORMATICS

Prof. Sara Mathieson
Swarthmore College
Spring 2018



Outline: Apr 4

- Lab 5 Examples
- HMM example in population genetics
- Recap Viterbi Algorithm
- Forward-Backward Algorithm
- Posterior Decoding
- In lab tomorrow: working in log-space

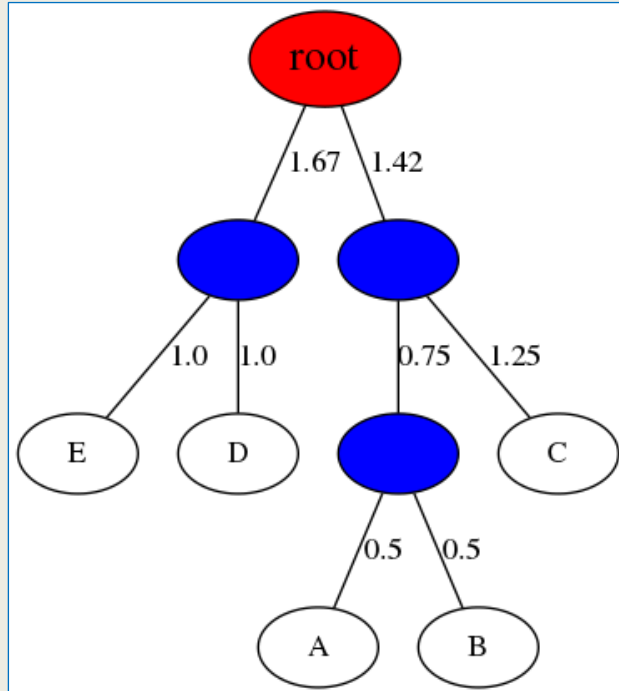
Notes:

- Office hours TODAY 1-3pm
- Lab 7 due tonight
- Lab 8: 1.5 week lab (last graded lab)

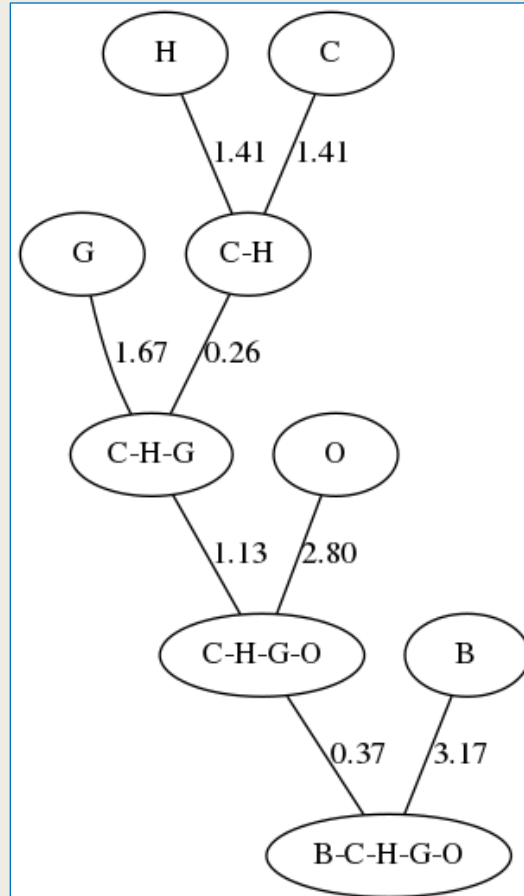
Lab 5 Examples

Lab 5: UPGMA visualizations

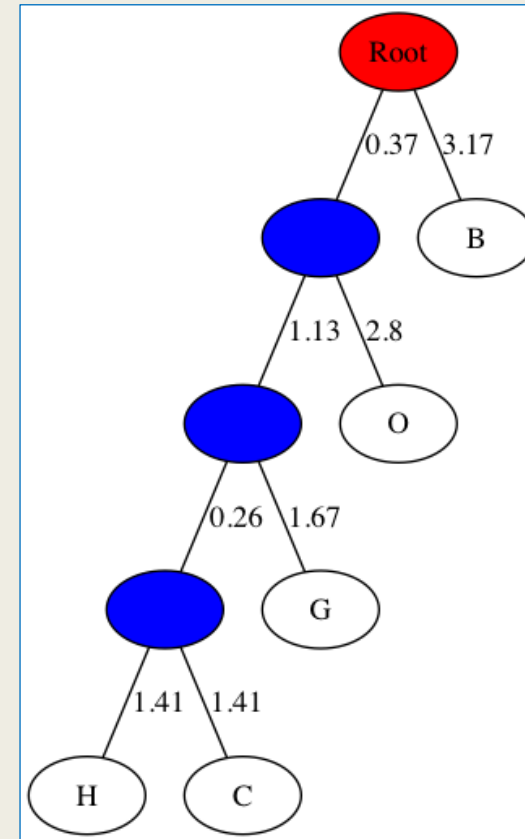
Sam & Hunter



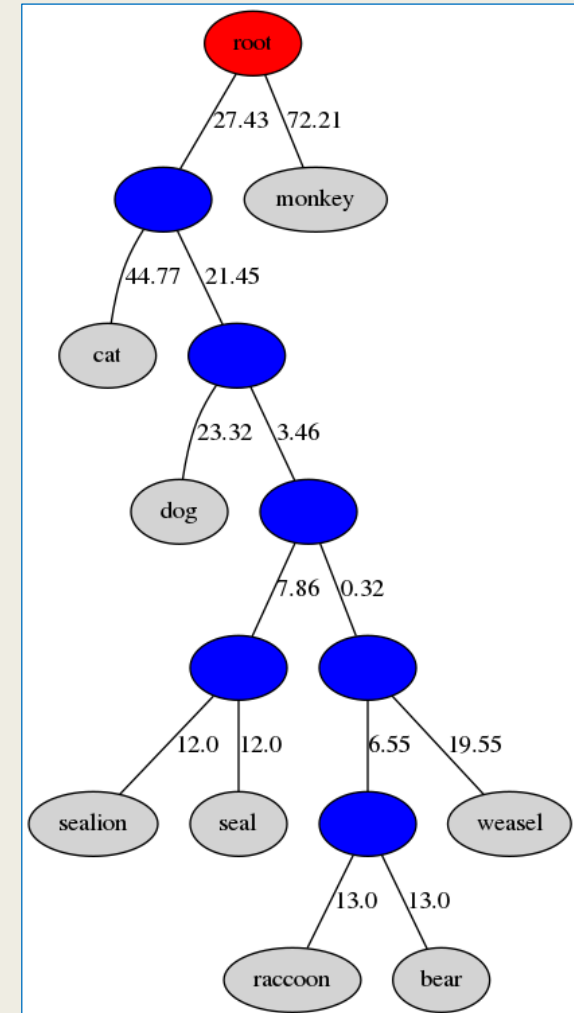
Ellen & Douglas



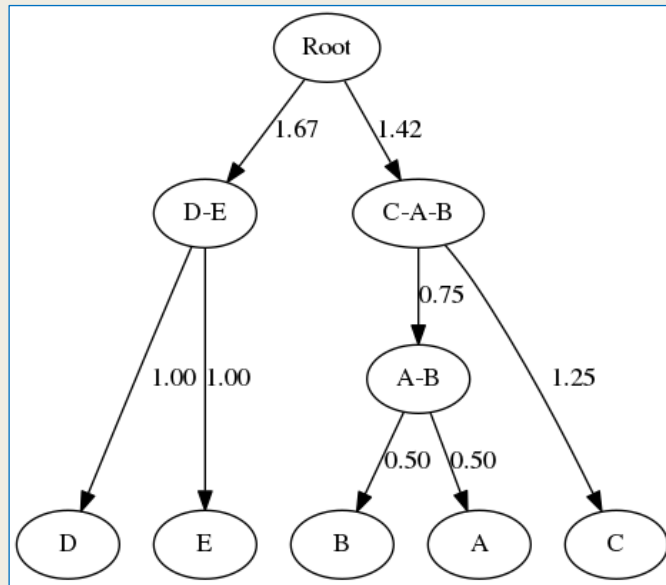
Tyler & Nathan



Neeraj & Tim

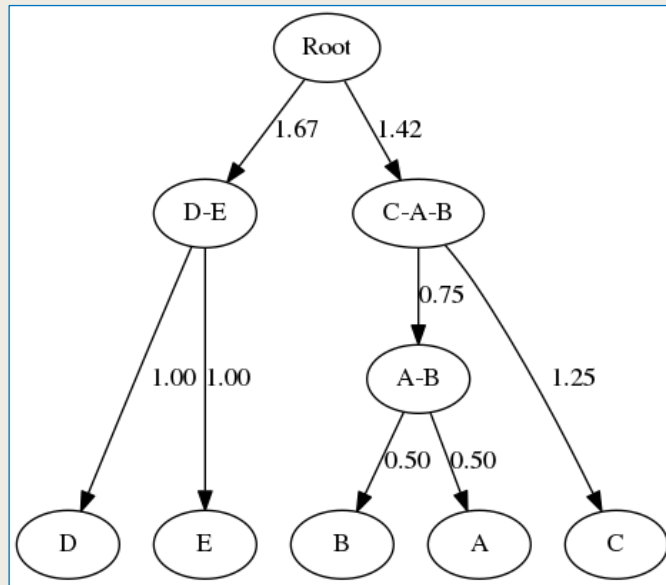


Lab 5: UPGMA visualizations (including branch lengths)



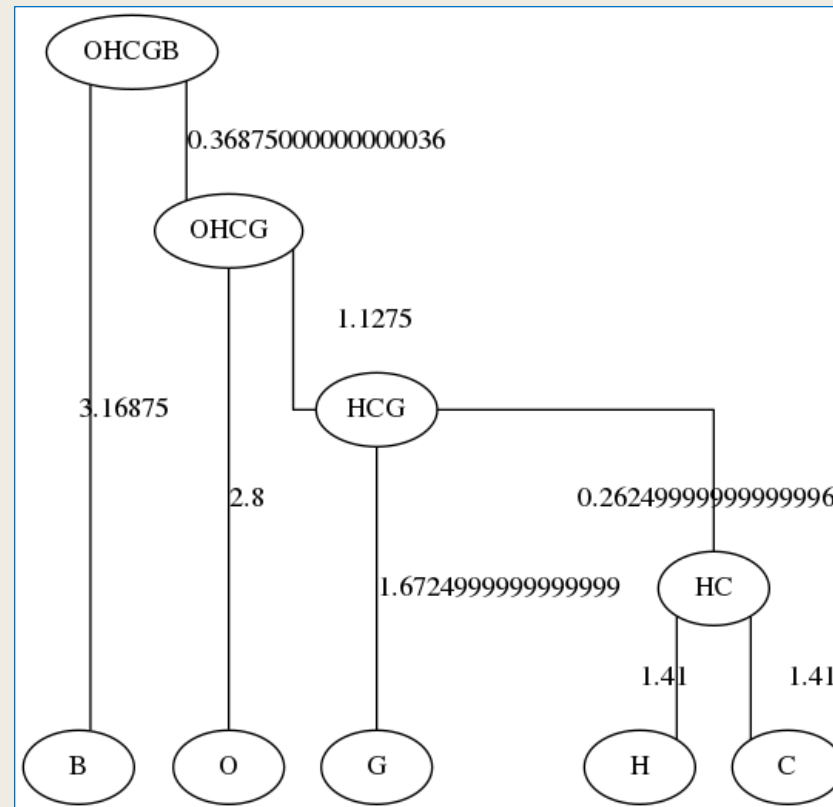
Sarah & Tommy

Lab 5: UPGMA visualizations (including branch lengths)

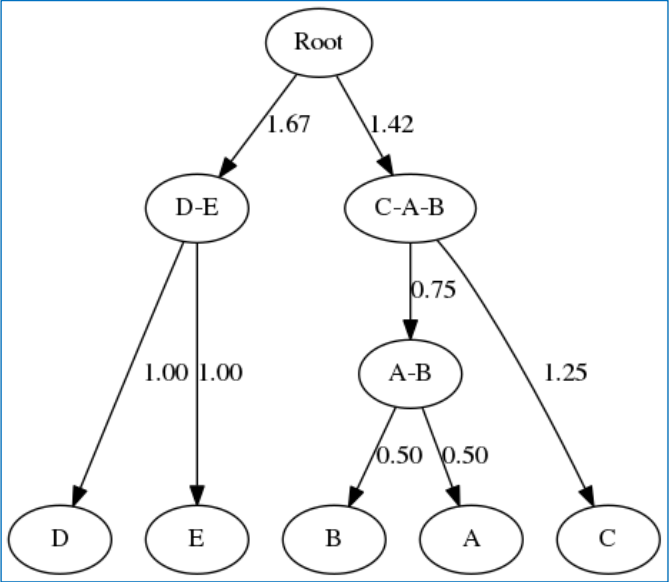


Sarah & Tommy

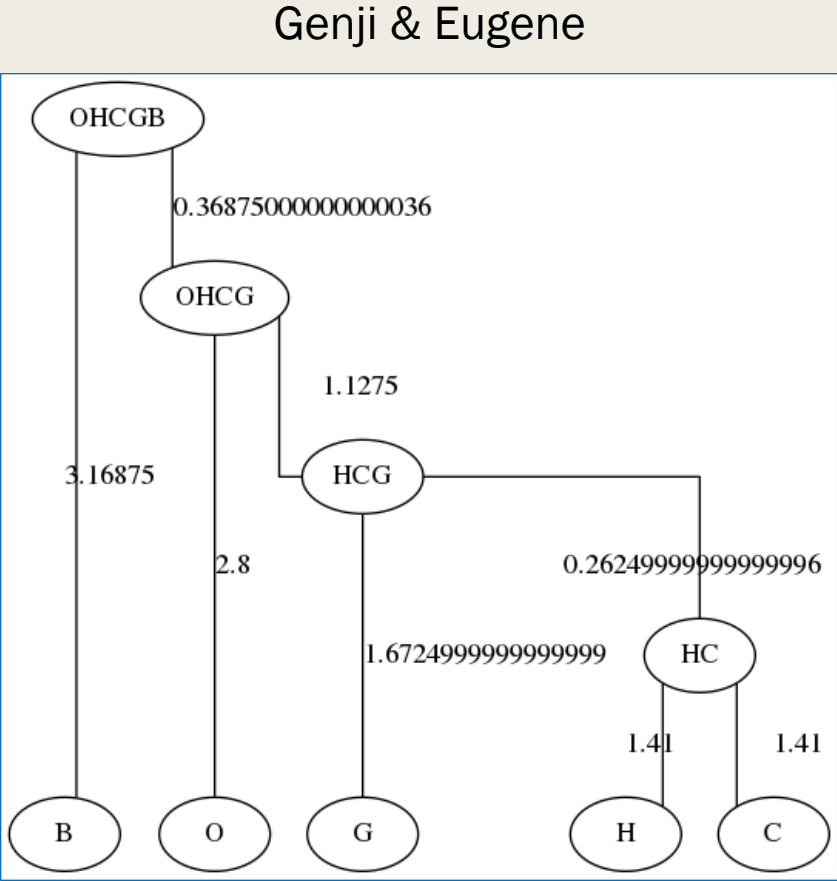
Genji & Eugene



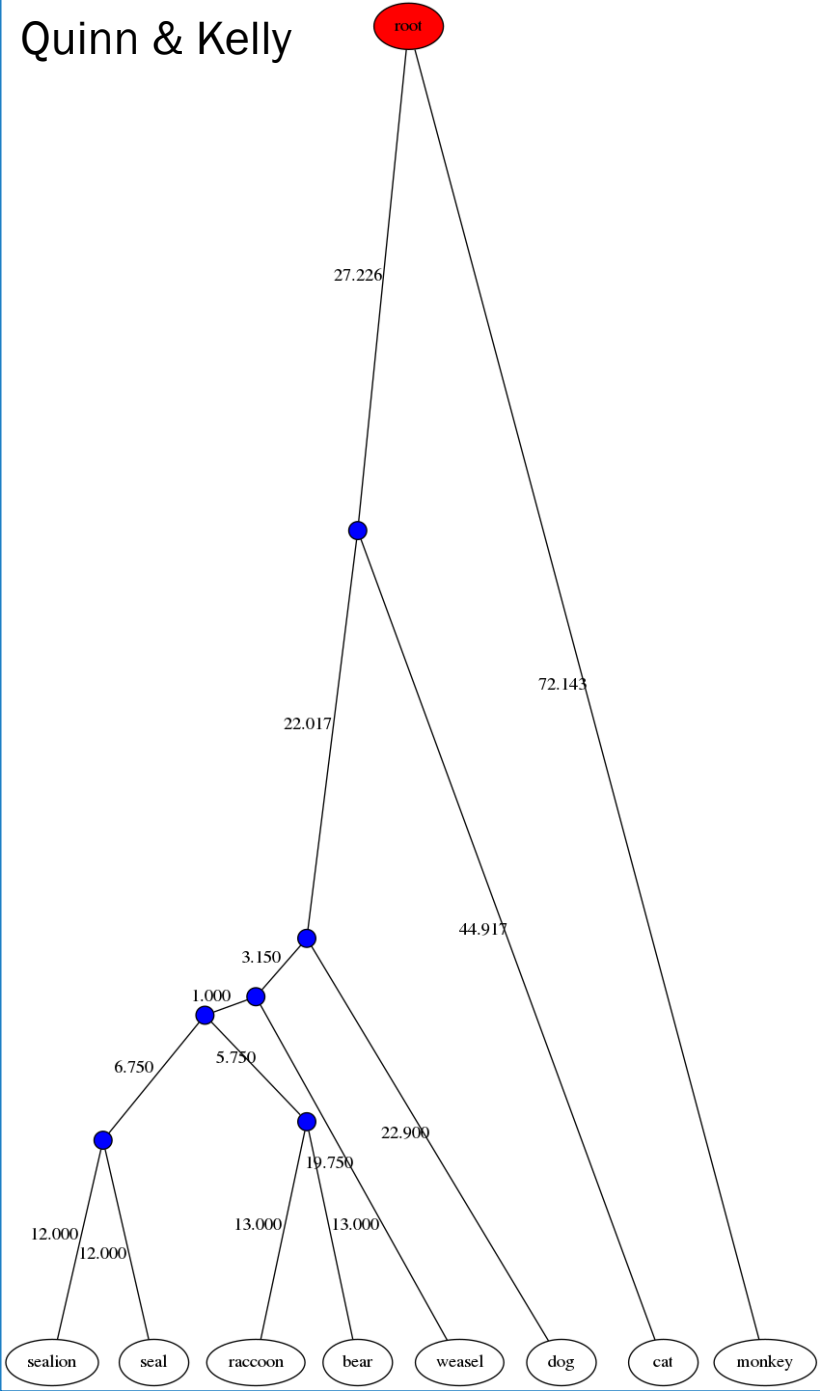
Lab 5: UPGMA visualizations (including branch lengths)



Sarah & Tommy

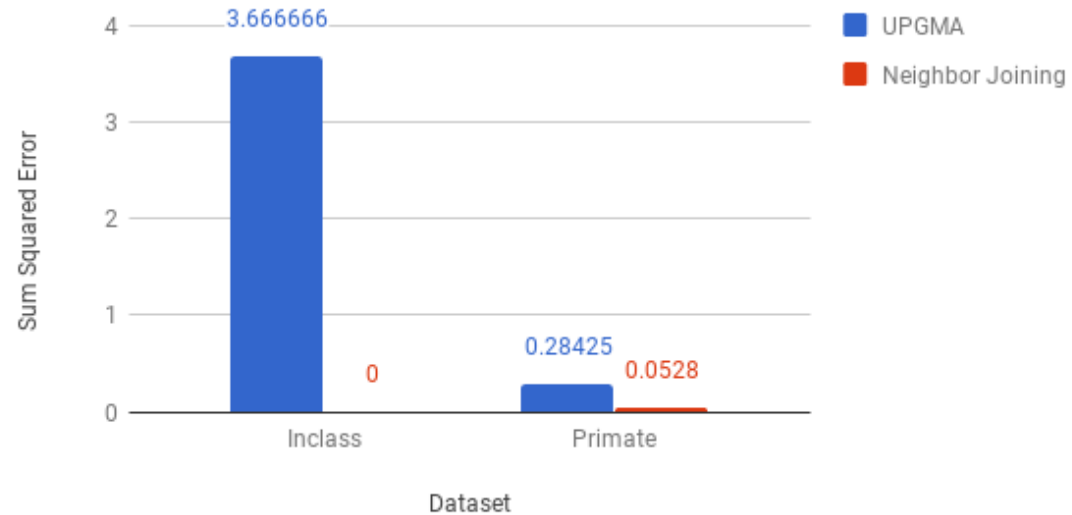


Quinn & Kelly



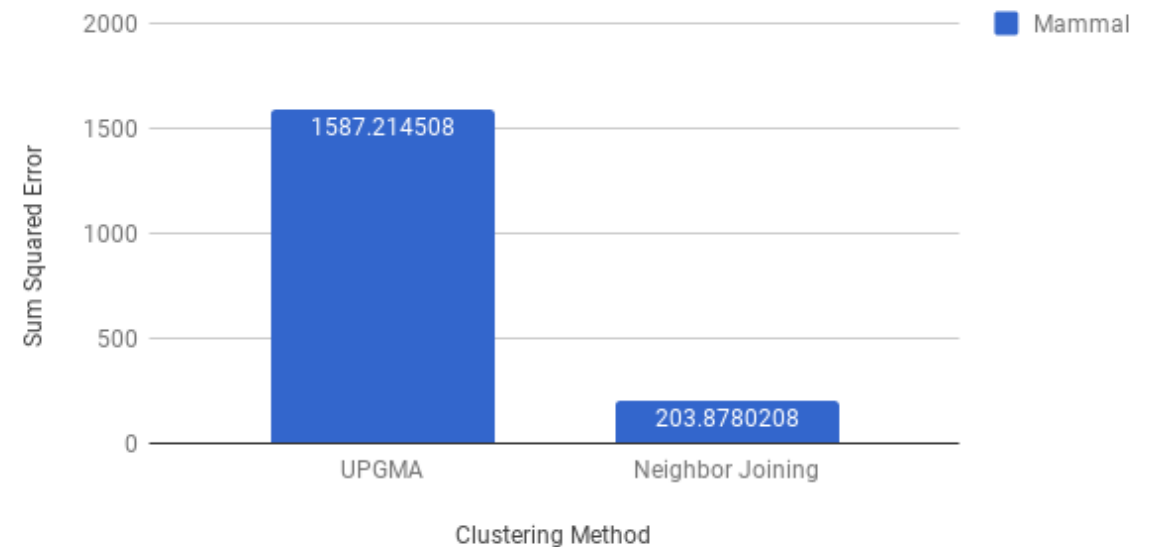
Lab 5: dissimilarity map comparisons

SSE for UPGMA and Neighbor Joining on the Inclass and Primate Datasets



William

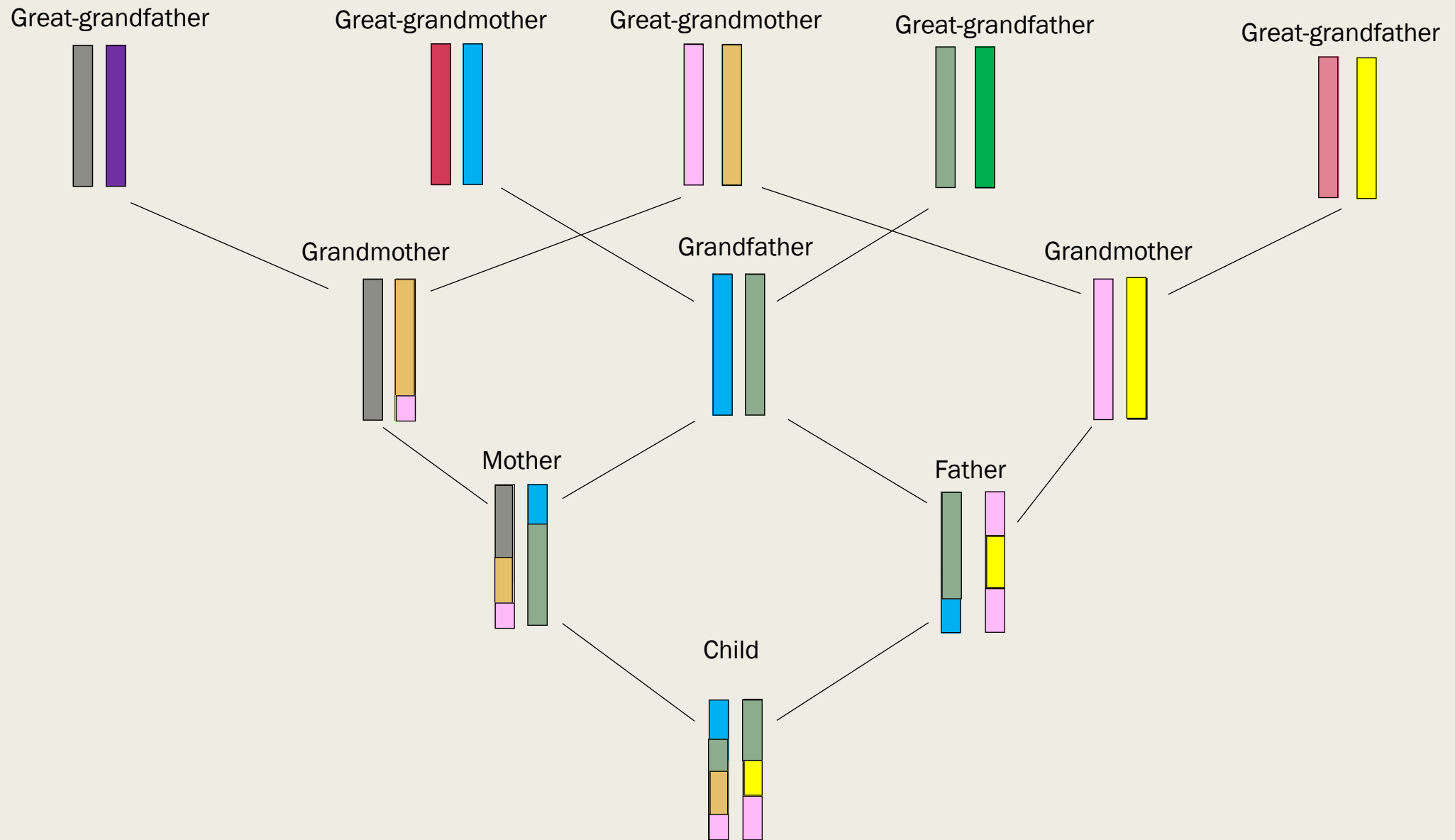
SSE for UPGMA and Neighbor Joining on the Mammals Dataset



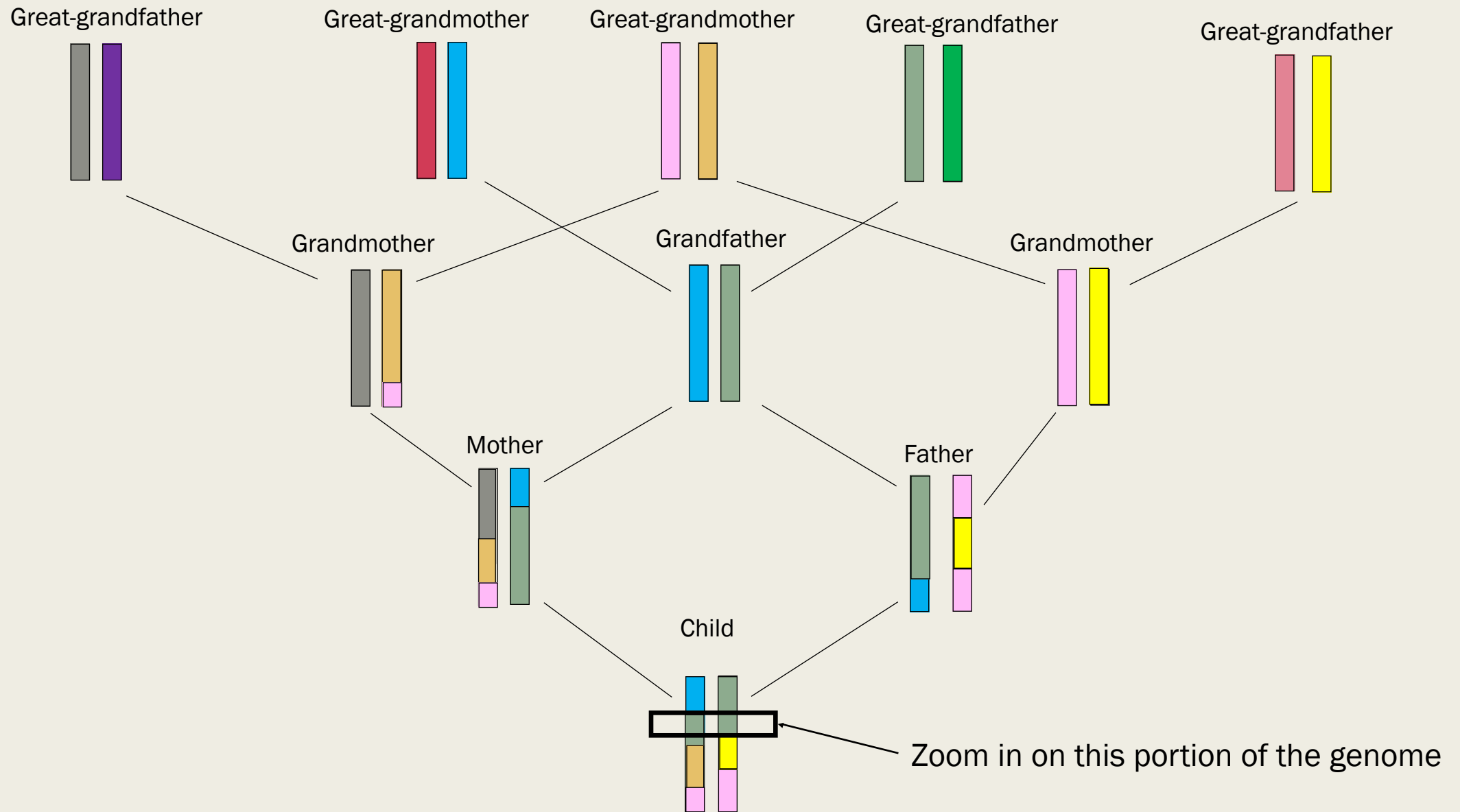
HMM example from population genetics

Back to recombination....

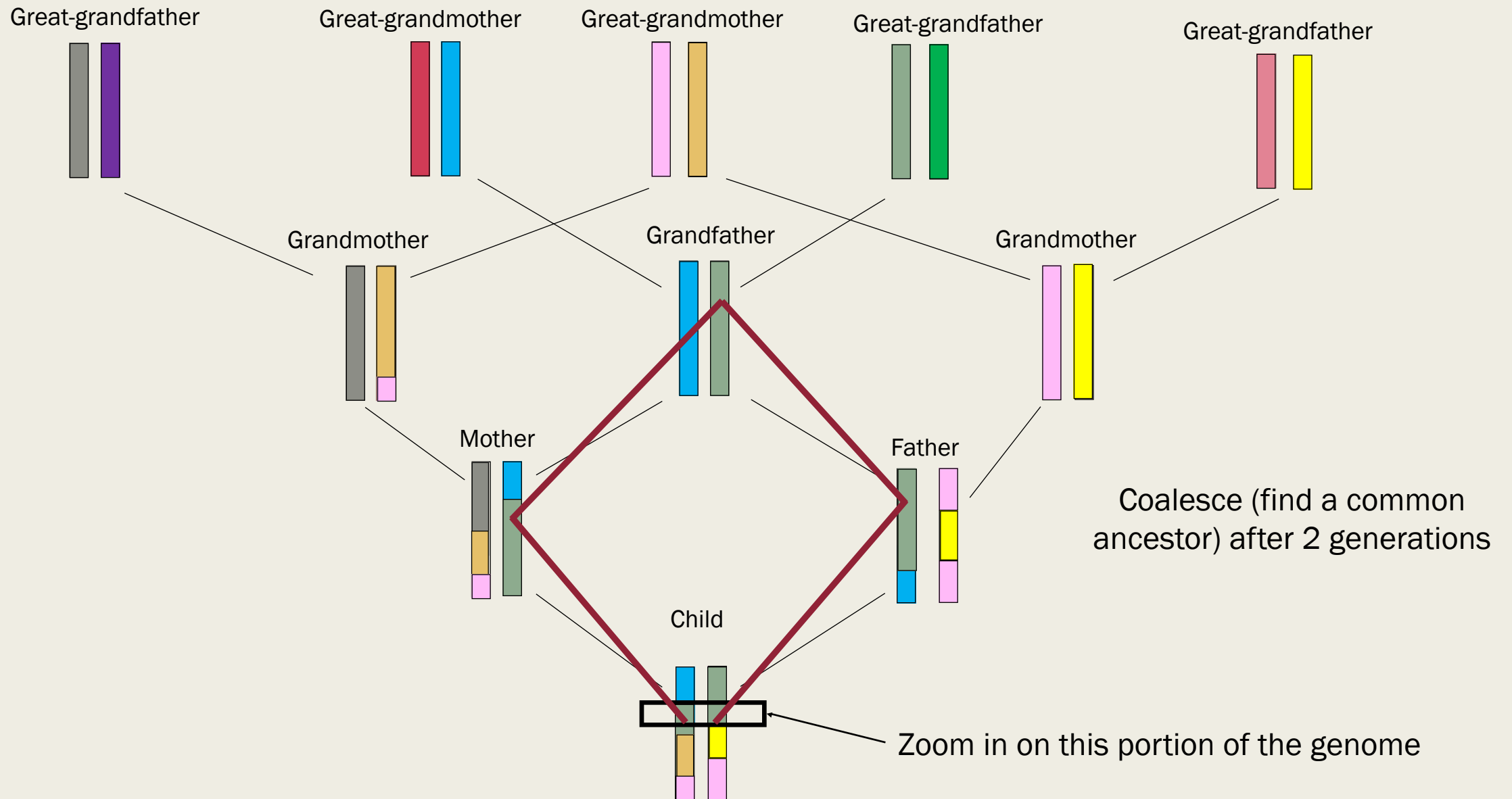
Recombination over time



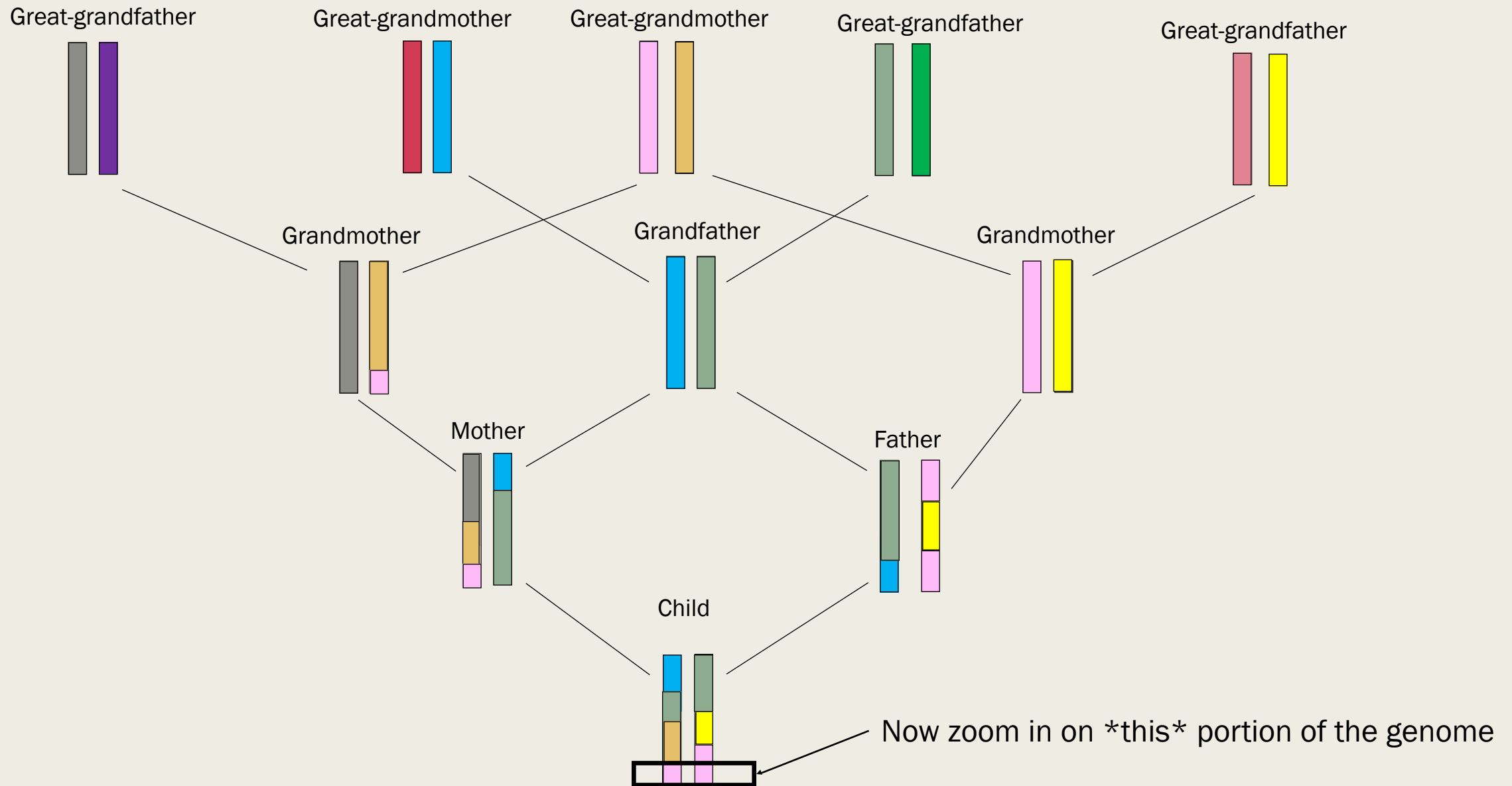
Recombination over time



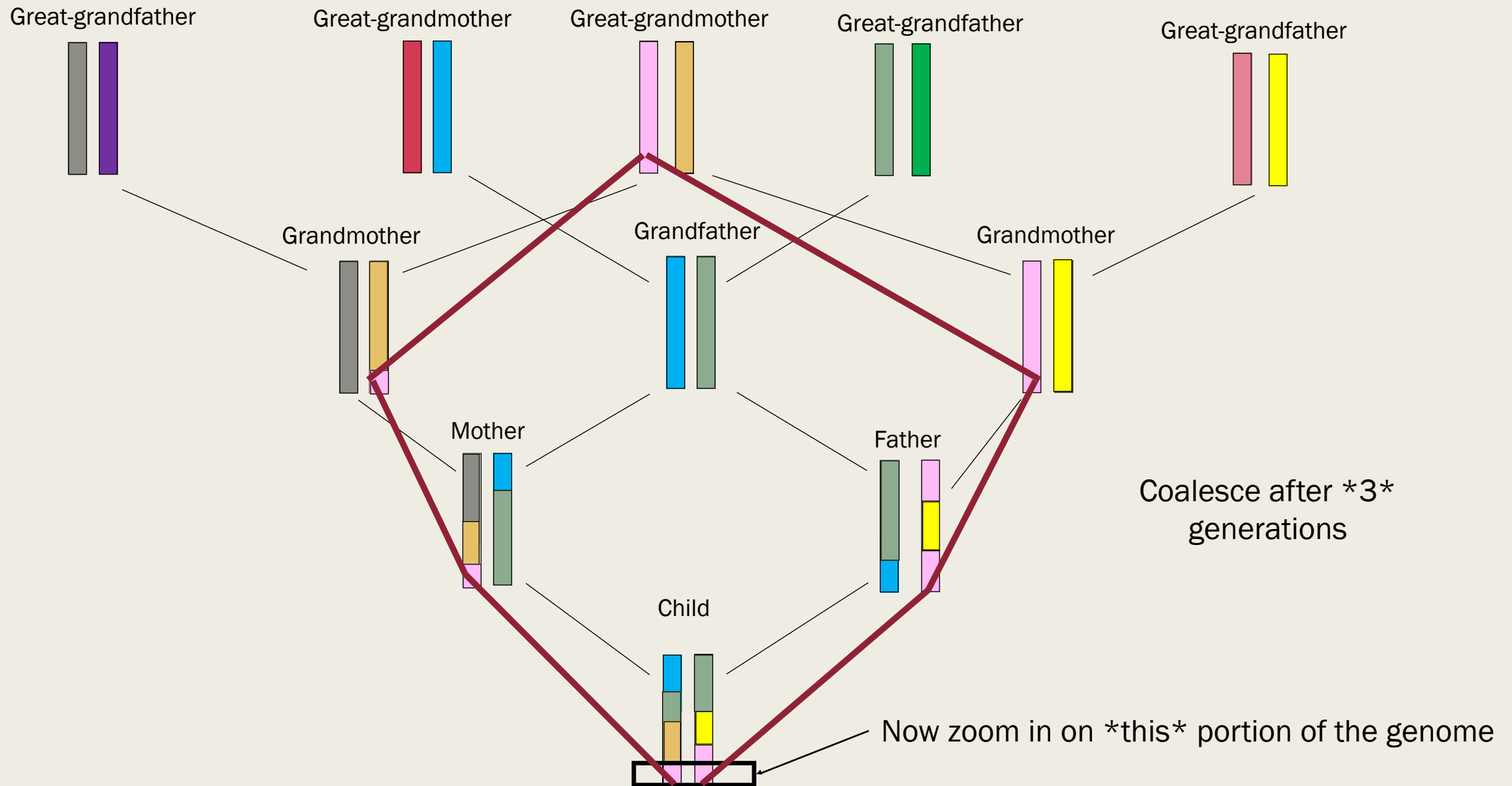
Recombination over time



Recombination over time



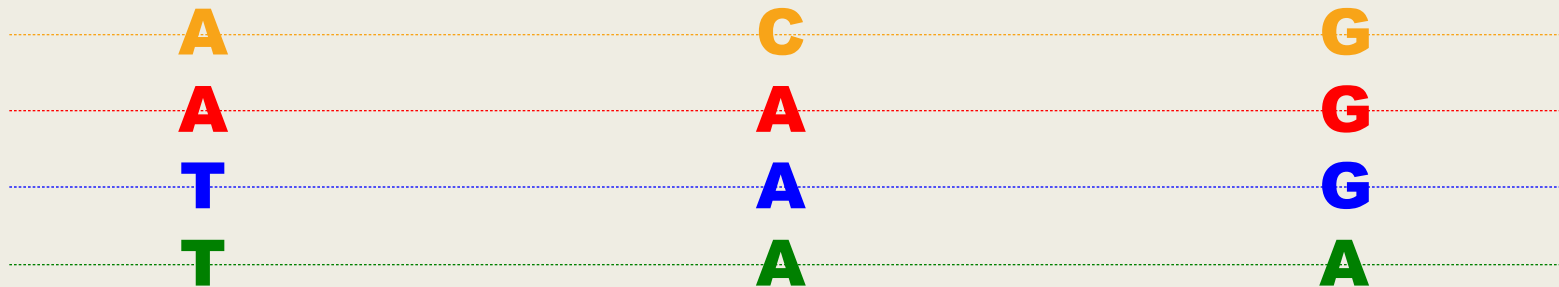
Recombination over time



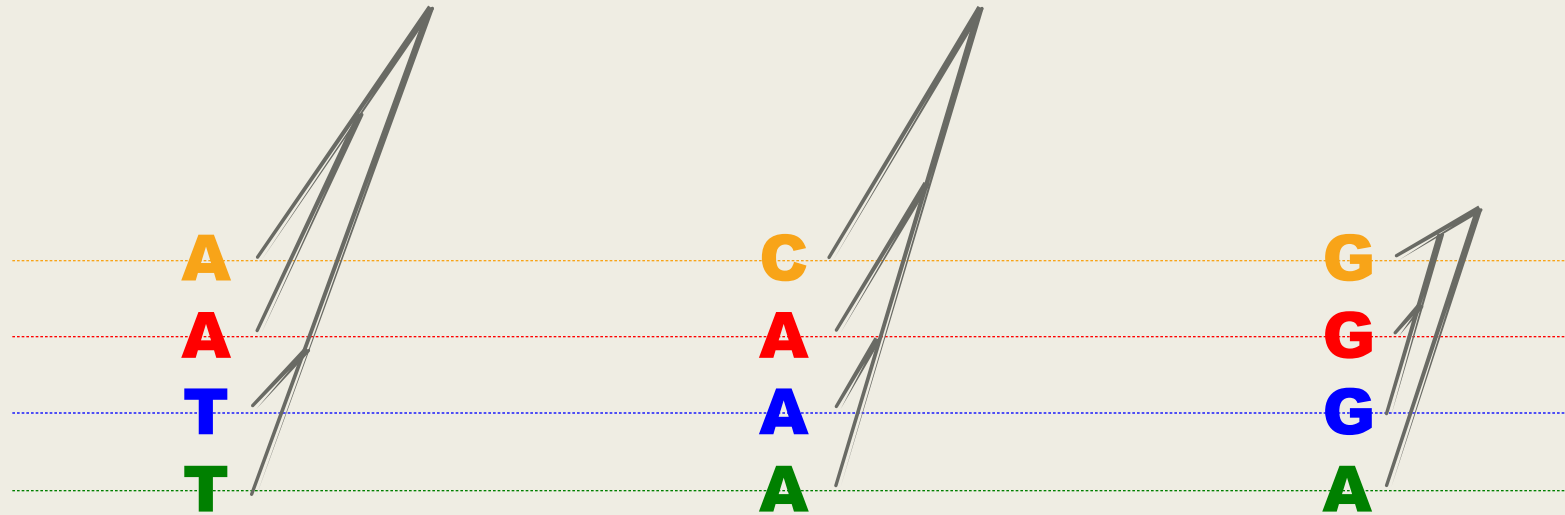
How could we encode this as an HMM?

- Take-home message: the tree changes across the genome! Both topology (for $n > 2$) and branch lengths

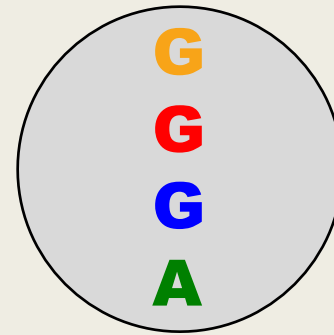
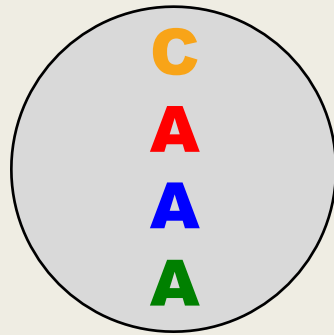
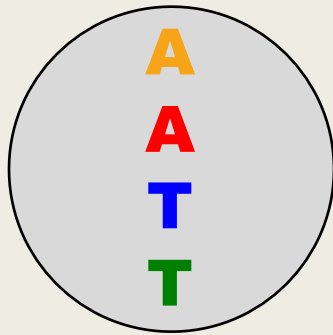
Sequence data at many sites



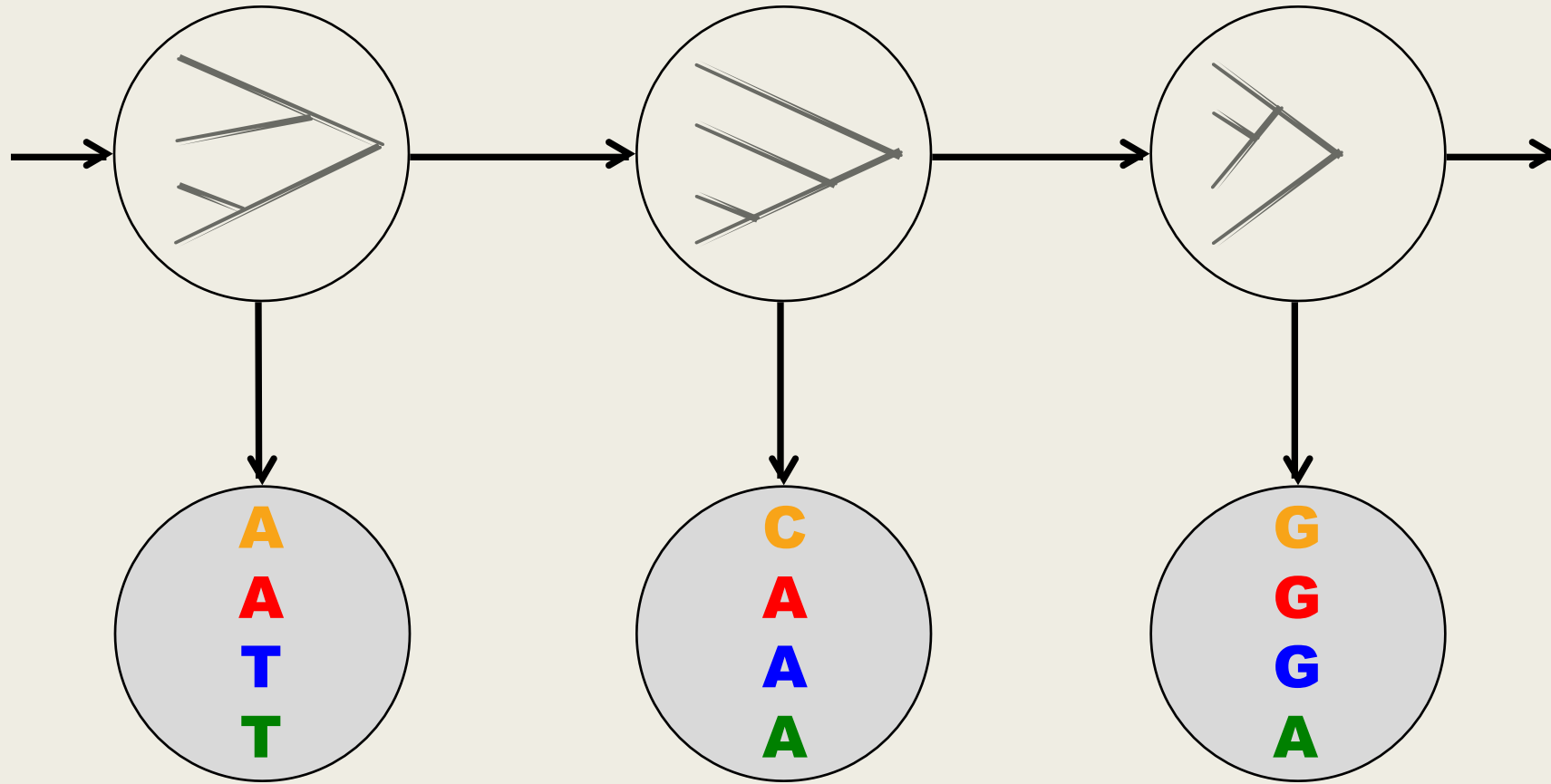
Tree changes along the genome!



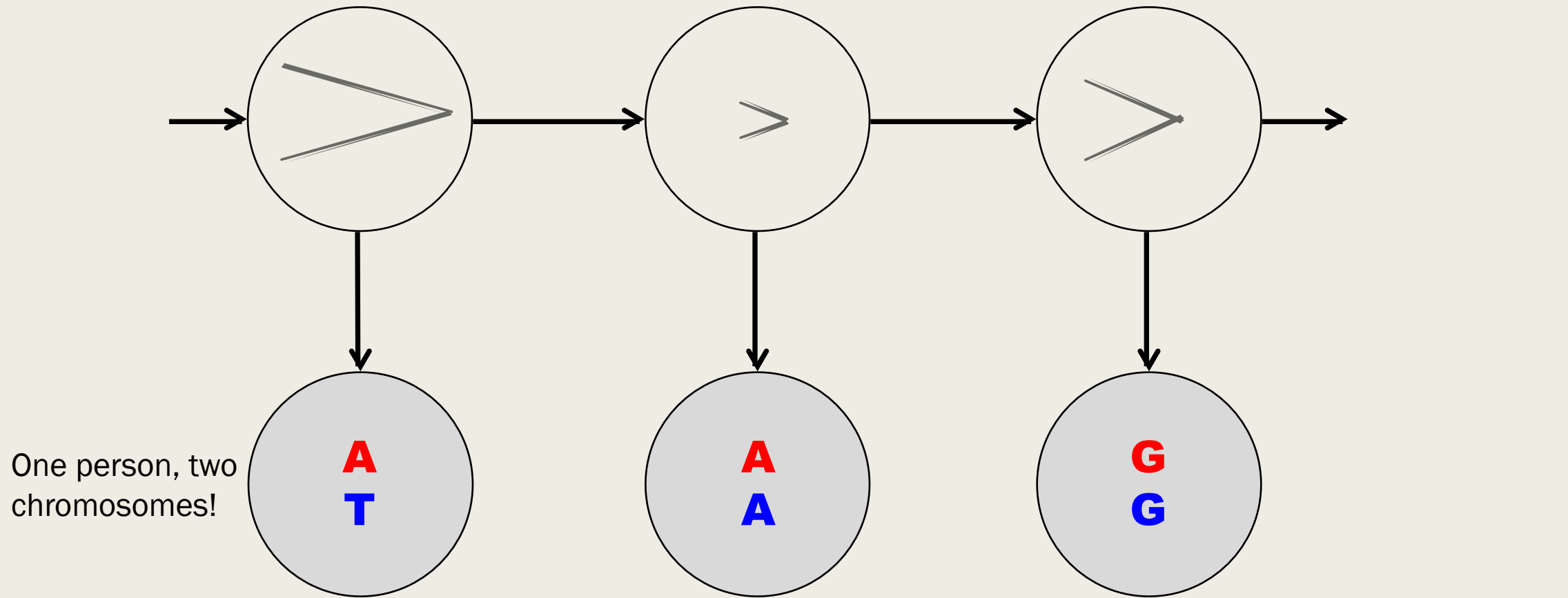
HMM observations: sequence data



HMM hidden states: the tree



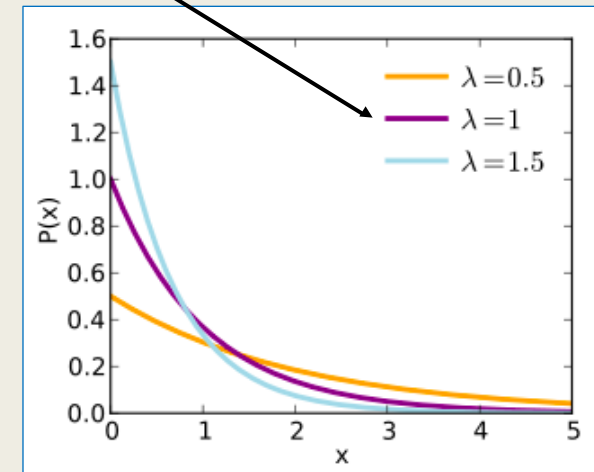
Number of possible trees grows exponentially... just look at $n=2$



Now the hidden state becomes the **time** of coalescence

PSMC: pairwise sequentially Markovian coalescent

- The distribution of pairwise coalescence times should be **exponential** with parameter 1
- If this differs from the exponential distribution, there were probably **population size changes**
- If all coalescence times are very recent, small population size
- If all coalescence times are very ancient, large population size
- We can use this to reconstruct the **population size change history** over time!



PSMC: an HMM for two sequences

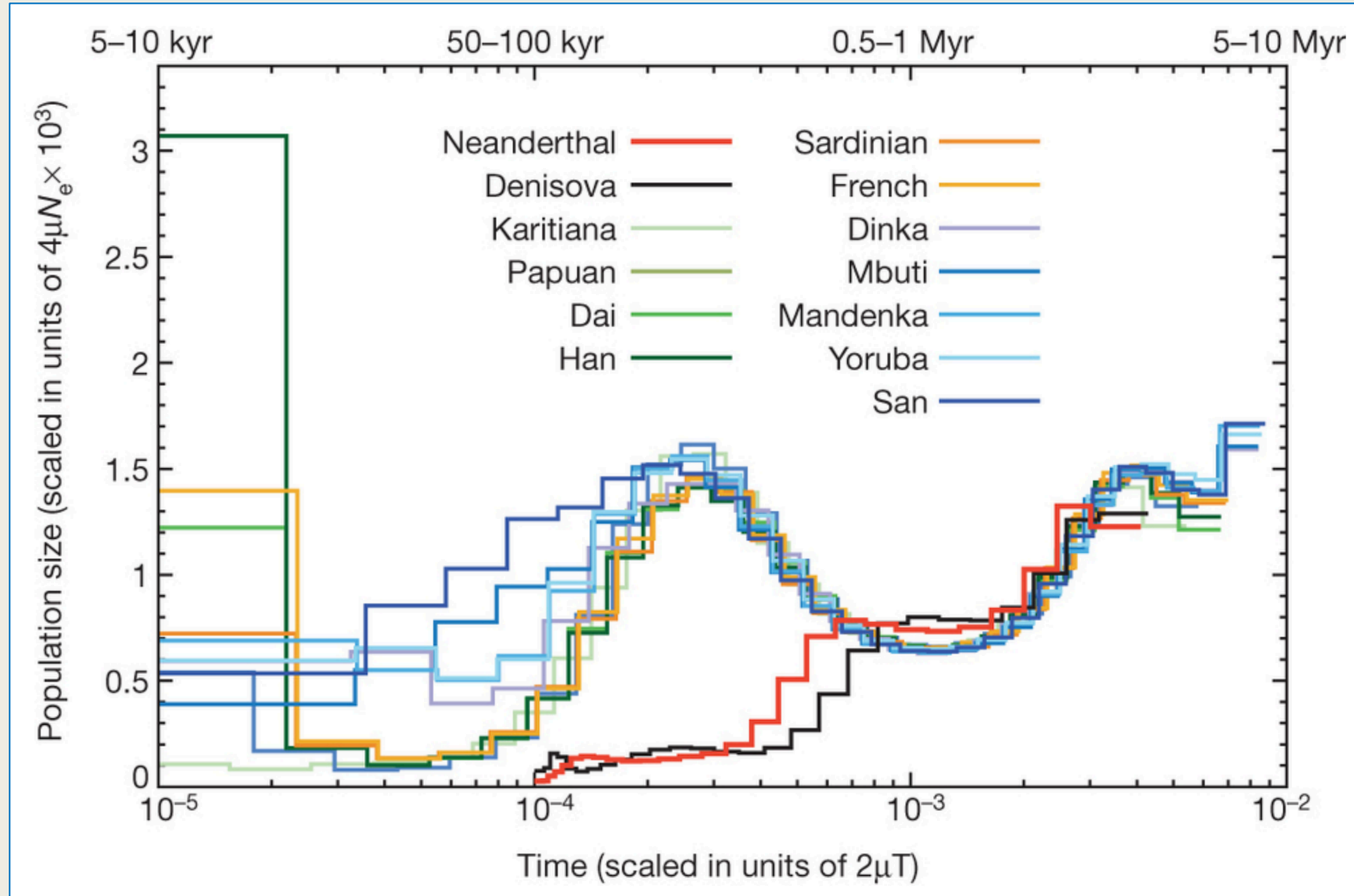
Letter

Inference of human population history from individual whole-genome sequences

Heng Li & Richard Durbin

Nature **475**, 493–496 (28 July 2011)
doi:10.1038/nature10231

Received: 01 April 2009
Accepted: 20 May 2011



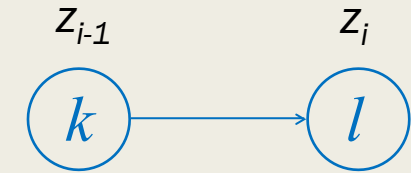
“The complete genome sequence of a Neanderthal from the Altai Mountains”, Prufer et al (2014)

Recap Viterbi Algorithm

HMM definition

- Transition probabilities:
($K \times K$ matrix)

$$a_{kl} = P(z_i = l | z_{i-1} = k)$$



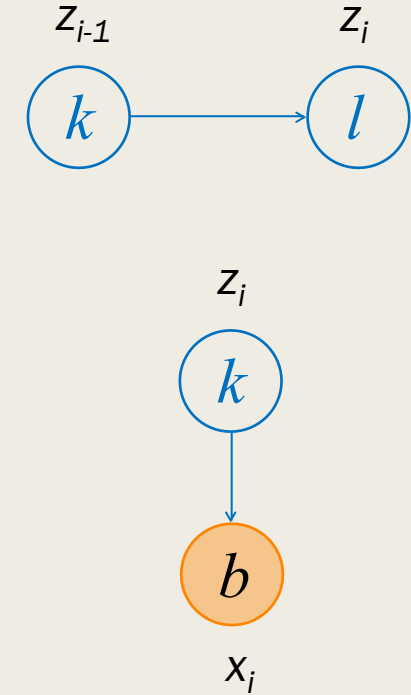
HMM definition

- Transition probabilities:
($K \times K$ matrix)

$$a_{kl} = P(z_i = l | z_{i-1} = k)$$

- Emission probabilities:
($K \times B$ matrix)

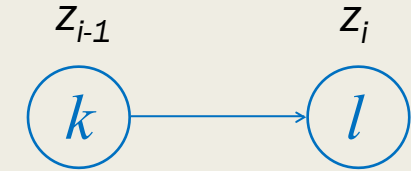
$$e_k(b) = P(x_i = b | z_i = k)$$



HMM definition

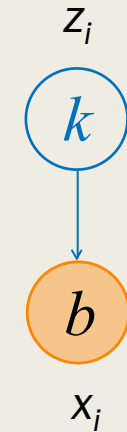
- Transition probabilities:
($K \times K$ matrix)

$$a_{kl} = P(z_i = l | z_{i-1} = k)$$



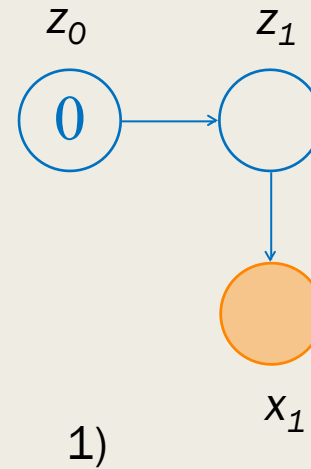
- Emission probabilities:
($K \times B$ matrix)

$$e_k(b) = P(x_i = b | z_i = k)$$



- A way to deal with the initial state

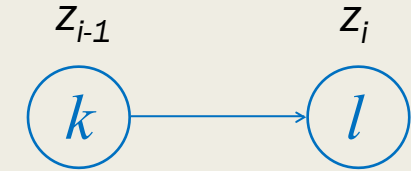
1) Special start state with no emission



HMM definition

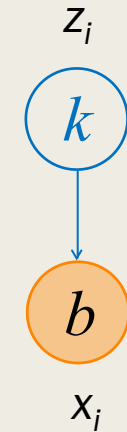
- Transition probabilities:
($K \times K$ matrix)

$$a_{kl} = P(z_i = l | z_{i-1} = k)$$



- Emission probabilities:
($K \times B$ matrix)

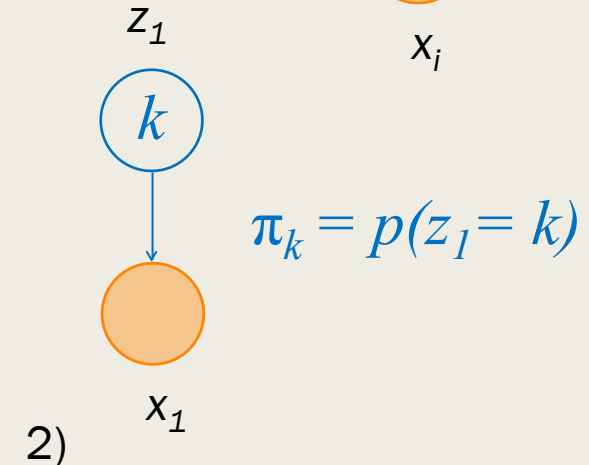
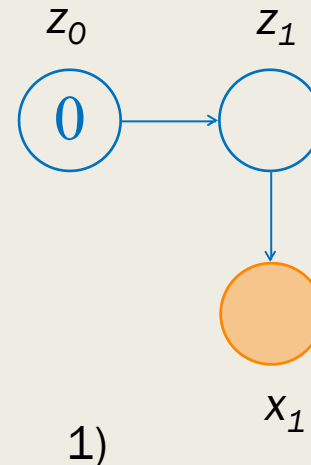
$$e_k(b) = P(x_i = b | z_i = k)$$



- A way to deal with the initial state

- 1) Special start state with no emission
- 2) Probability distribution over initial states

$$\pi_k = \text{probability of starting in state } k$$

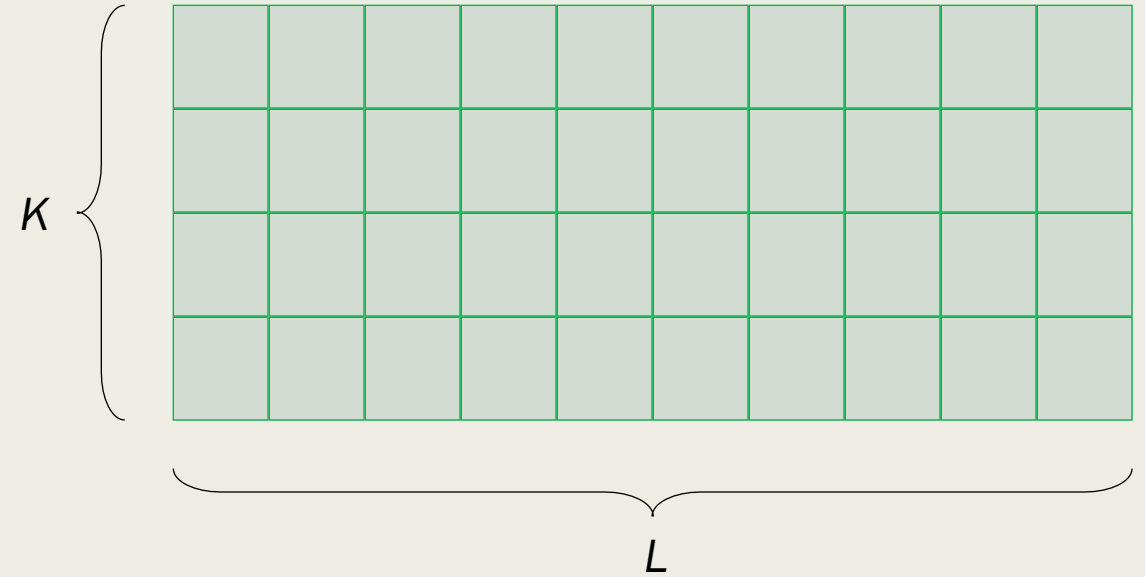


Viterbi Algorithm

- **Input:** observed sequence (x_1, x_2, \dots, x_L) and transition/emission probabilities (**a** and **e** matrices)
- **Output:** most probable (i.e. most likely) hidden state sequence \mathbf{z}^*

Viterbi Algorithm

- **Input:** observed sequence (x_1, x_2, \dots, x_L) and transition/emission probabilities (**a** and **e** matrices)
- **Output:** most probable (i.e. most likely) hidden state sequence \mathbf{z}^*
- **Initialization:** create a $K \times L$ matrix, this will be our dynamic programming (DP) table

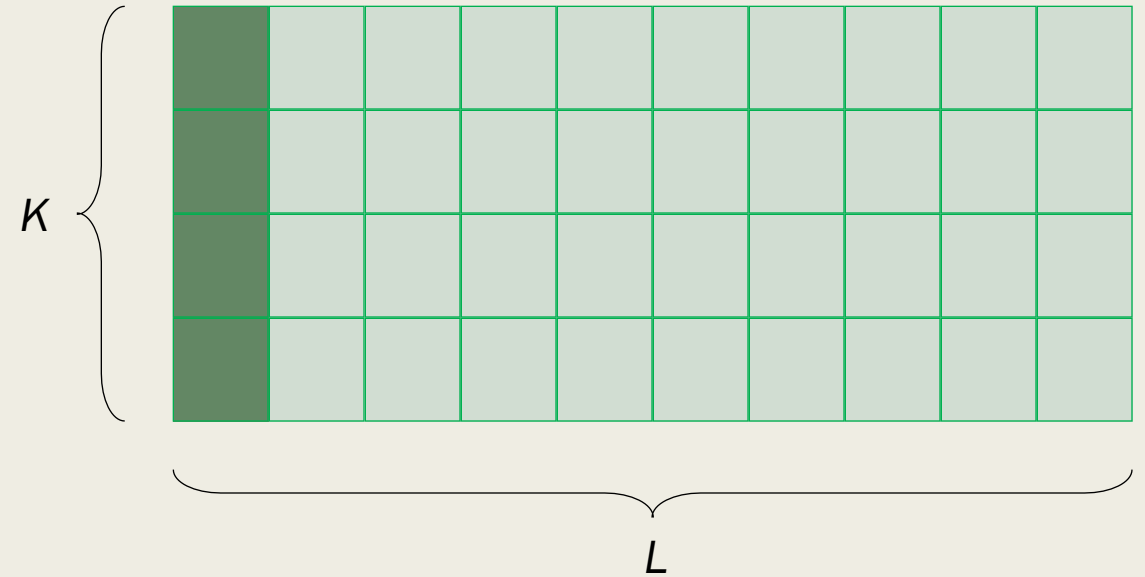


Viterbi Algorithm

- **Input:** observed sequence (x_1, x_2, \dots, x_L) and transition/emission probabilities (**a** and **e** matrices)
- **Output:** most probable (i.e. most likely) hidden state sequence \mathbf{z}^*
- **Initialization:** create a $K \times L$ matrix, this will be our dynamic programming (DP) table

$$V_k(1) = \pi_k \cdot e_k(x_1)$$

(Note: there are lots of ways to initialize, this avoids a special start state.)



Viterbi Algorithm

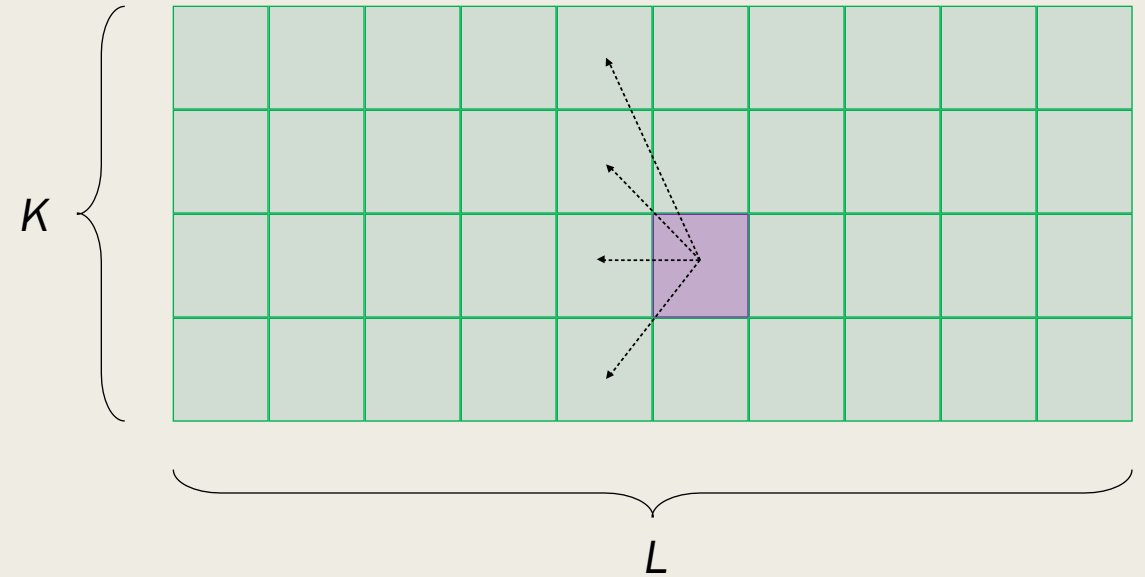
- **Input:** observed sequence (x_1, x_2, \dots, x_L) and transition/emission probabilities (**a** and **e** matrices)
- **Output:** most probable (i.e. most likely) hidden state sequence \mathbf{z}^*
- **Initialization:** create a $K \times L$ matrix, this will be our dynamic programming (DP) table

$$V_k(1) = \pi_k \cdot e_k(x_1)$$

(Note: there are lots of ways to initialize, this avoids a special start state.)

- **Recursion:**

$$V_k(i) = e_k(x_i) \cdot \max_l \left\{ V_l(i-1) \cdot a_{lk} \right\}$$



Viterbi Algorithm

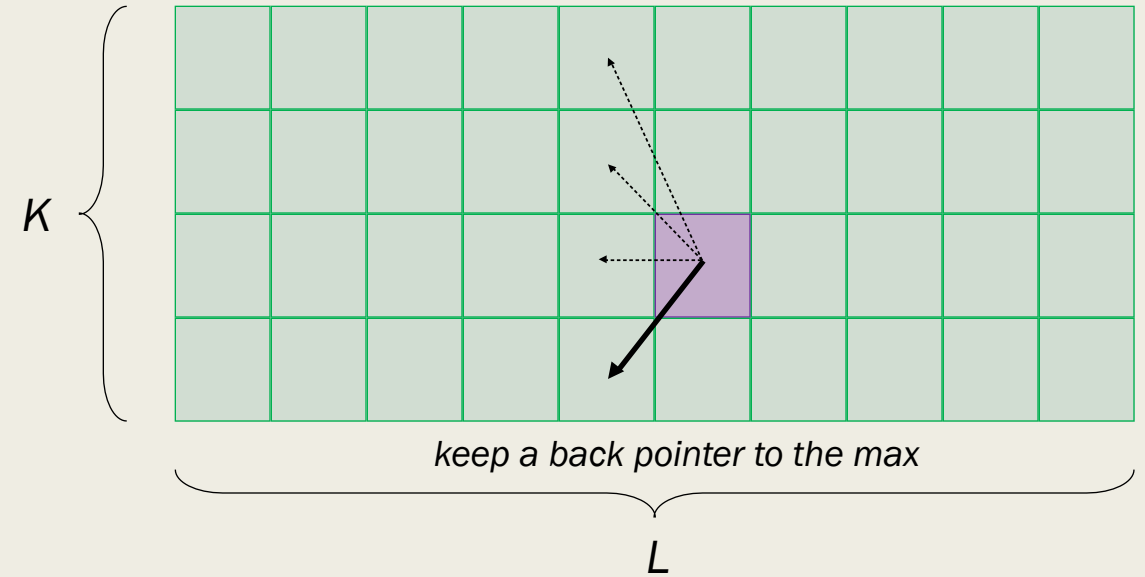
- **Input:** observed sequence (x_1, x_2, \dots, x_L) and transition/emission probabilities (**a** and **e** matrices)
- **Output:** most probable (i.e. most likely) hidden state sequence \mathbf{z}^*
- **Initialization:** create a $K \times L$ matrix, this will be our dynamic programming (DP) table

$$V_k(1) = \pi_k \cdot e_k(x_1)$$

(Note: there are lots of ways to initialize, this avoids a special start state.)

- **Recursion:**

$$V_k(i) = e_k(x_i) \cdot \max_l \left\{ V_l(i-1) \cdot a_{lk} \right\}$$



Viterbi Algorithm

- **Input:** observed sequence (x_1, x_2, \dots, x_L) and transition/emission probabilities (**a** and **e** matrices)
- **Output:** most probable (i.e. most likely) hidden state sequence \mathbf{z}^*
- **Initialization:** create a $K \times L$ matrix, this will be our dynamic programming (DP) table

$$V_k(1) = \pi_k \cdot e_k(x_1)$$

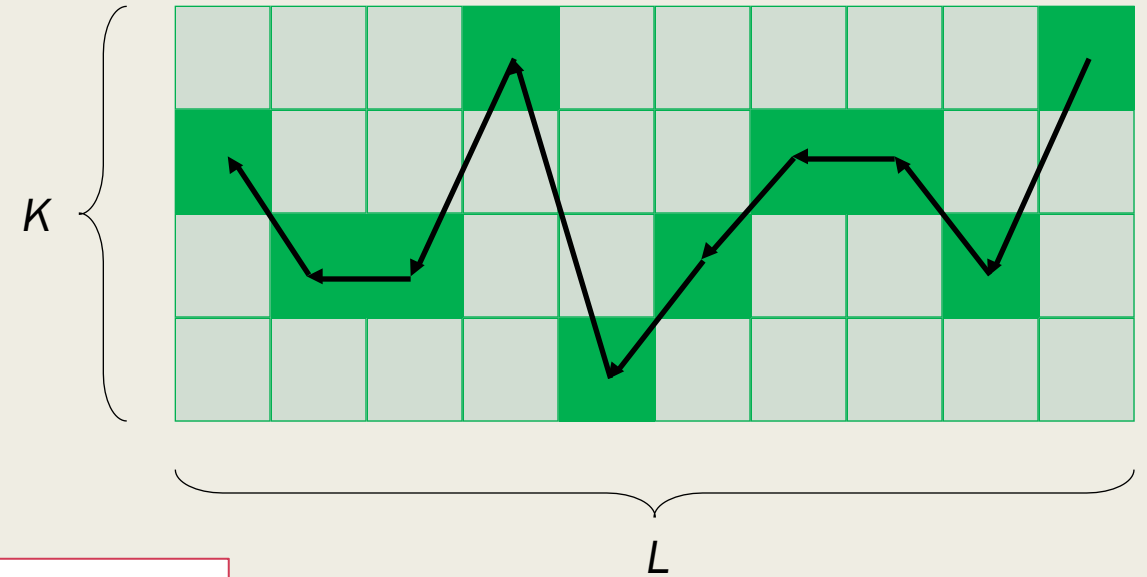
(Note: there are lots of ways to initialize, this avoids a special start state.)

- **Recursion:**

$$V_k(i) = e_k(x_i) \cdot \max_l \left\{ V_l(i-1) \cdot a_{lk} \right\}$$

- **Termination and traceback:**

$$P(\vec{x}, \vec{z}^*) = \max_k \left\{ V_k(L) \right\}$$



Viterbi Algorithm

- **Input:** observed sequence (x_1, x_2, \dots, x_L) and transition/emission probabilities (**a** and **e** matrices)
- **Output:** most probable (i.e. most likely) hidden state sequence \mathbf{z}^*
- **Initialization:** create a $K \times L$ matrix, this will be our dynamic programming (DP) table

$$V_k(1) = \pi_k \cdot e_k(x_1)$$

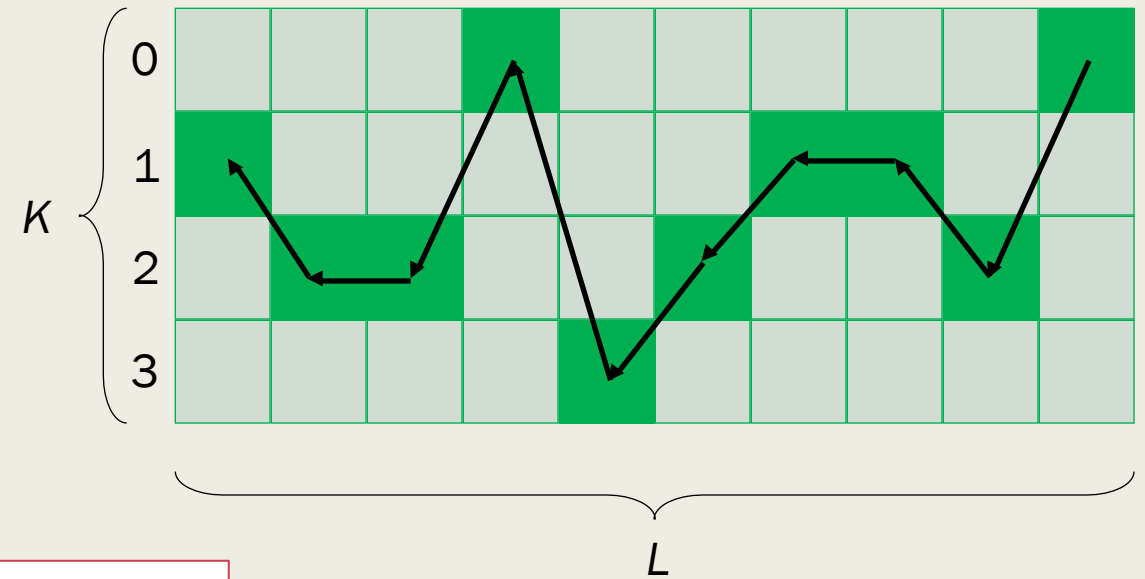
(Note: there are lots of ways to initialize, this avoids a special start state.)

- **Recursion:**

$$V_k(i) = e_k(x_i) \cdot \max_l \left\{ V_l(i-1) \cdot a_{lk} \right\}$$

- **Termination and traceback:**

$$P(\vec{x}, \vec{z}^*) = \max_k \left\{ V_k(L) \right\}$$



$$\mathbf{z}^* = (1, 2, 2, 0, 3, 2, 1, 1, 2, 0)$$

What is wrong with Viterbi?

What is wrong with Viterbi?

- Only one path! We can't compute the probability of being in state k at step i

What is wrong with Viterbi?

- Only one path! We can't compute the probability of being in state k at step i
- We don't know if there are many possible paths, all with very similar probabilities

What is wrong with Viterbi?

- Only one path! We can't compute the probability of being in state k at step i
- We don't know if there are many possible paths, all with very similar probabilities
- And a note for later: we may not know the transition and emission probabilities

Forward-Backward algorithm

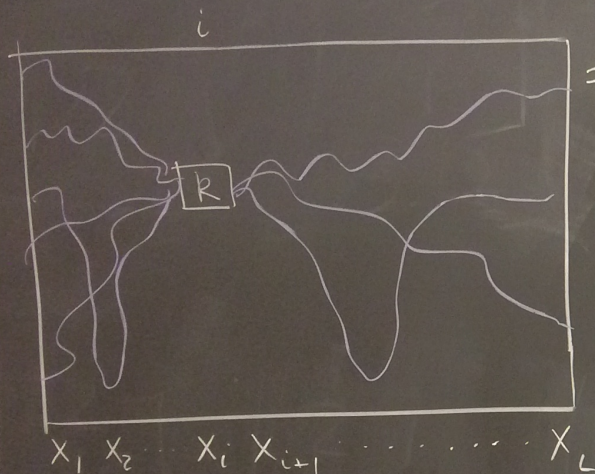
Forward-Backward algorithm

Goal: $P(z_i = k | \vec{x}) = \frac{P(\vec{x}, z_i = k)}{P(\vec{x})}$

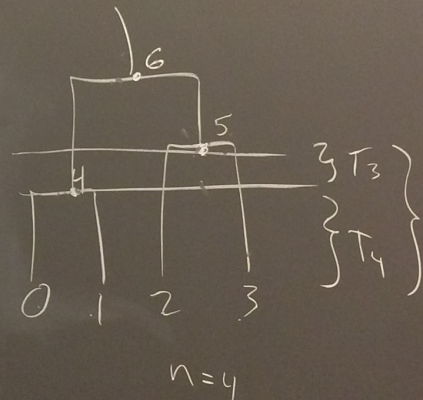
what is
the prob
of state k
at step i ?

focus on this
for now.

$$P(\vec{x}, z_i = k) = P(\underbrace{x_1, x_2, \dots, x_i}_{A}, \underbrace{z_i = k, x_{i+1}, \dots, x_L}_{B})$$



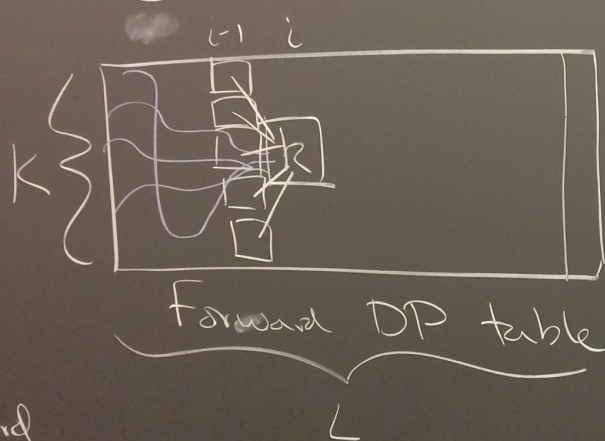
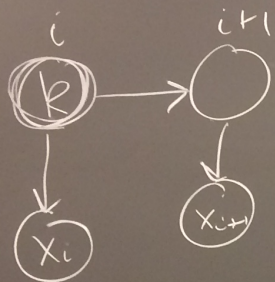
$$= P(\underbrace{x_1, \dots, x_i, z_i = k}_{\text{forward prob.}}) \cdot P(\underbrace{x_{i+1}, \dots, x_L}_{\text{backward prob.}} | z_i = k, \cancel{x_1, \dots, x_i})$$



$$O(k^2 L)$$

runtime

- Viterbi
- forward
- backward



Forward Algorithm

$f_k(i)$ = prob of observing x_1, \dots, x_i & being in state k at step i

$$f_k(i) = P(x_1, \dots, x_i, z_i = k)$$

Recursion:

$$f_k(i) = e_k(x_i) \sum_l f_l(i-1) a_{lk}$$

\uparrow emit x_i

\uparrow prev step in state l

\uparrow transition from $l \rightarrow k$

Initialization

$$f_k(1) = \pi_k \cdot e_k(x_1)$$

Termination

$$P(\vec{x}) = \sum_k f_k(L)$$

Backward algorithm

$$b_k(i) = P(x_{i+1}, x_{i+2}, \dots, x_L | z_i = k)$$

initiation

$$b_k(L) = 1$$

recursion

$$b_k(i) = \sum_l a_{kl} e_l(x_{i+1}) b_l(i+1)$$

